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ARTICLE INFORMATION	Fill in information in each box below			
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Article Title (within 20 words without abbreviations)	Association between the plasma concentration of melatonin and			
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Running Title (within 10 words)	Melatonin is unrelated to the temperament of horses			
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#### 5 Abstract

6 Aggression in horses may cause serious accidents during riding and non-riding activities. 7 Hence, predicting the temperament of horses is essential for selecting suitable horses and ensuring 8 safety during the activity. In certain animals, such as hamsters, plasma melatonin concentrations have 9 been correlated with aggressive behavior. However, whether this relationship applies to horses remains 10 unclear. To address this research gap, this study aimed to evaluate differences in the plasma melatonin 11 concentrations among horses of different breeds, ages, and sexes and examine the correlation between 12 plasma melatonin concentrations and the temperament of the horses, including docility, affinity, dominance, and trainability. Blood samples from 32 horses were collected from the Horse Industry 13 Complex Center of Jeonju Kijeon College. The docility, affinity, dominance, and trainability of the 14 horses were assessed by three professional trainers who were well-acquainted with the horses. Plasma 15 16 melatonin concentrations were measured using an enzyme-linked immunosorbent assay. The 17 consequent values were compared between the horses of different breeds, ages, and sexes using a threeway analysis of variance and least significant difference post hoc test. Linear regression analysis was 18 employed to identify the relationship between plasma melatonin concentrations and docility, affinity, 19 20 dominance, and trainability. The results showed that the plasma melatonin concentrations significantly differed with breeds in Thoroughbred and cold-blooded horses. However, there were no differences in 21 22 the plasma melatonin concentrations between the horse ages and sexes. Furthermore, plasma melatonin 23 concentrations did not exhibit a significant correlation with the ranking of docility, affinity, dominance, 24 and trainability.

25

#### 26 Keywords

27 Melatonin, behavioral temperament, horse

# Introduction

30	Temperament refers to individual differences in biological behavioral characteristics based on
31	the behavior and exhibits various behavioral characteristics (1). The temperament of animals is one of
32	the key factors affecting the degree of interrelationship with humans (2). Thus, predicting the
33	temperament of animals may prevent accidents during activities involving large animals, such as horses
34	(3). Visser and coworkers (4) indicated that knowing a horse's temperaments is essential for safe riding
35	or non-riding activities. Furthermore, knowing the temperament of horses improves their welfare by
36	ensuring appropriate management. Kilgour (5) noted that animal's temperament could be determed
37	based on the evaluation of animal behavior. However, because such behavior evaluation can often be
38	subjective, objective, and practical assessment methods for the temperament of horses are warranted.
39	Neurotransmitters, the chemical messengers between neurons and target receptors mediate all
40	neuronal phenomena (6). Neurotransmitters influence various social behavior in animals, including
41	affinity and depression (7). For example, to alleviate the symptoms of behavioral disorders, such as
42	those of depression and anxiety, serotonin is released into the synapse (8-10). Oxytocin is a neuropeptide
43	that alleviates the symptoms of depression, anxiety, and repetitive behavior in mice (11) (12). In horses,
44	serotonin and oxytocin act as a factor to determine equine docility and friendliness to humans (13).
45	Melatonin is a hormone secreted by the pineal glands in the diencephalon, produced by
46	sunlight exposure during the day and released at night. Kilic and coworkers (14) reported that melatonin
47	secretion was associated with various social behaviors, including hyperactivity, anxiety, and depression
48	One study relating melatonin concentrations to behavior reported that animals exhibiting hyperactivity
49	demonstrated a shorter duration of melatonin signaling and frequent nocturnal melatonin peaks
50	compared with the control group (15). Remarkably, melatonin has been related to aggressive behavior
51	in animals. In male Syrian hamsters, animals exhibiting higher melatonin concentrations demonstrated
52	more aggressive behavior (16). In rats, melatonin exhibited neuroprotective action and improved
53	insomnia-induced anxiety (17, 18). Furthermore, in a study involving humans, the participants who
54	were administered melatonin exhibited more aggression in specific situations than participants in the
55	control group who were administered a placebo (19). Hence, these results indicate the association of

56 melatonin with aggressive behavior in nonhuman mammals as well as humans. However, the 57 relationship between melatonin and the temperaments of horses is yet to be elucidated.

Neurotransmitter secretions differ depending on the breed, age, and sex of animals. For example, the behavior of domestic dogs differs depending on their breed (20). Sex in rats and age in monkeys have been associated with differences in their neurotransmitter systems (21, 22). Thus, differences in melatonin concentration according to breed, age, and sex in horses are worth examining. This study aimed to evaluate differences in the plasma concentration of melatonin among the different breeds, ages, and sexes of horses and to examine the correlation between plasma concentrations and the temperament of horses, including docility, affinity, dominance, and trainability.

### **Materials and Methods**

67 Animals

This study was conducted at the Horse Industry Complex Center of Jeonju Kijeon College in 68 Korea. The Animal Experiment Ethics Committee of Kyungpook National University approved the 69 70 protocol for animal use (2022-0483). In total, 32 horses were included in this study, including 15 71 Thoroughbreds, 2 Ponies, 7 Warmbloods, 1 Halla horse, 6 cold-blooded horses (Connemara pony and 72 Halflinger), and 1 quarterhorse. The sexes of the horse used in the experiment consisted of 12 geldings, 19 mares, and 1 stallion. The ages of horses ranged from 5 to 26 years (9.0  $\pm$  1.01 years). The ages of 73 horses ranged from five to twenty-five years. The horses were housed in 3.5 m x 3.5 m stalls with an 74 automatic water supply and fed timothy hay (1.5% of body weight) and commercial concentrates (0.5% 75 76 of body weight) per day.

77

#### 78 Blood sampling

Approximately 10 mL of blood was collected from the jugular vein of the horses. The blood samples were collected from 10 to 11 PM and loaded in EDTA tubes (BD Vacutainer, USA) and maintained in a 4°C icebox during transportation. To separate the plasma from blood samples, a centrifuge machine was used with 1,500 g for 110 min at 25°C. The plasma was stored at -70°C refrigerator before analysis.

84

85

#### Enzyme-linked immunosorbent assay analysis

To determine the plasma melatonin concentrations, a horse melatonin ELISA kit (HREB0045, Assay Genie, Windsor, Dublin, Ireland) with a sensitivity of 10 pg/mL was employed. Sunrise Absorbance Microplate Reader (Tecan, Männedorf, Switzerland) was used to evaluate the plasma samples at 450 nm. The intra-assay and interassay coefficients of variability were 5.9% and 9.1%, respectively.

91

#### 92 Assessment of horse temperaments

93 Docility, affinity, dominance, and trainability were scored by three professional trainers who 94 had been training the horses for at least five years and were well-acquainted with the horses. The 95 temperament of each horse was assessed based on the criteria shown in Table 1. Docility was scored 96 based on the behavioral response of the horses in an unfamiliar situation and the time required to catch 97 the horse. Affinity was evaluated according to the distance the horses maintained from humans and their response to humans. Trainability was determined as per the willingness of the horses to participate in 98 99 training and the time required to achieve the training goal. The dominance of a horse was assessed by it gaining the upper hand among other horses in social settings or when competing over food. The 100 101 temperament of each horse was determined by averaging the scores given by the three professional 102 trainers. The scores for each aspect of the behavioral temperament of the horses ranged from 0 to 5 points. The scores were categorized into three grades: low (0-1 point), medium (2-3 points), and high 103

104 (4-5 points).

105

#### 106 Statistical analysis

All statistical analyses were conducted using SPSS V25 (IBM, Armonk, NY, USA). A threeway analysis of variance with the least significant difference post hoc test was used to compare plasma melatonin concentrations among the horses of different breeds, ages, and sex. Linear regression was employed to characterize the relationship between plasma melatonin concentrations and docility, affinity, dominance, and trainability rankings. To increase normality and accuracy, all raw data were converted to log values. Raw data exceeding the first and third quartiles were considered outliers and removed.

## Result

116	Melatonin	concentration	among	breeds,	ages,	and	sexes	in	horses
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117 Differences in breeds, ages, and sexes according to plasma concentration of melatonin were analyzed. The melatonin concentrations between cold-blooded horses and Thoroughbreds were 118 significantly different (Table 2). The mean concentration of melatonin in cold-blooded horses was 119 significantly lower than in Thoroughbreds. Conversely, the mean melatonin concentrations in 120 121 Warmbloods did not significantly differ from that of other breeds. Furthermore, plasma melatonin concentrations did not vary with age (Table 3) or between geldings and mares (Table 4). The mean 122 melatonin concentration demonstrated a significant interaction with the horse breeds (P < 0.05); 123 however, melatonin concentrations did not differ significantly with the age and sex of the horses. 124 125 Differences in the plasma concentration of melatonin according to horse use 126 The differences in the plasma concentration of melatonin according to the uses of horses were 127 confirmed. Given that cold-blooded horses exhibited significantly lower plasma melatonin 128 concentrations than the other horse breeds, their data were excluded from the horse use analysis. Horses 129

in the training program (who were being trained) exhibited the highest mean melatonin concentration;however, the difference was not statistically significant.

132

#### 133 Relation between melatonin concentrations with docility

The graph of linear regression showed the relation between the plasma concentration of melatonin and the ranking of docility. Melatonin concentration showed a coefficient ( $R^2$ ) of 0.01 (P =0.90, Figure 1). There was no correlation between the plasma concentration of melatonin and the ranking of the docility of horses.

138

#### 139 Relation between melatonin concentrations with an affinity

140 The graph of linear regression showed the relation between the plasma concentration of 141 melatonin and the ranking of affinity. The linear regression of plasma melatonin concentrations and 142 affinity had a coefficient ( $R^2$ ) of 0.01 (P = 0.90, Figure 2), indicating no significant relationship.

143

#### 144 Relation between melatonin concentrations with trainability

The graph of linear regression showed the relation between melatonin concentration and trainability. The linear regression of plasma melatonin concentrations and trainability had a coefficient  $(R^2)$  of 0.01 (P = 0.90, Figure 3), indicating no significant relationship.

148

### 149 Relation between melatonin concentrations with dominance

The graph of linear regression showed the relation between the plasma concentration of melatonin and the ranking of dominance. The linear regression of plasma melatonin concentrations and dominance had a coefficient ( $R^2$ ) of 0.08 (P = 0.623, Figure 4), indicating no correlation.



### Discussion

#### 155 The variation of melatonin concentration in the different breeds, ages, and sexes

156 In this study, the variations of melatonin concentration in the different breeds, ages, and sexes were evaluated. Plasma melatonin concentrations were significantly lower in cold-blooded horses than 157 in Thoroughbreds, suggesting varying melatonin concentrations between these breeds (Table 2). Horses 158 159 are known to differ in personality according to their breeds (23). For example, Thoroughbreds were 160 specifically bred for horse racing (24), whereas cold-blooded horses have been employed as workhorses 161 for a long time, adapted from natural conditions (25). Furthermore, the personality of horses may differ with the degree of their domestication and training status (26). Therefore, horse breeds may exhibit 162 different average melatonin concentrations owing to different breeds and training. Thoroughbreds are 163 considered hot-blooded horses and thus may differ from cold-blooded horses; hot-blooded horses 164 exhibit an active and sensitive personality, while cold-blooded horses exhibit a mild personality (27). 165 These observations suggest that horses exhibiting higher melatonin concentrations demonstrate a more 166 167 active personality. However, Thoroughbreds were the only hot-blooded horses included in this study. To further confirm differences in plasma melatonin concentrations between hot-blooded and cold-168 blooded horses, other hot-blooded horse breeds should be analyzed. 169

170 The plasma melatonin concentrations of Warmbloods also tended to be higher than that of 171 cold-blooded horses, although the difference was not statistically significant. Warmblood horses exhibit 172 large individual variations in plasma melatonin concentrations, probably owing to different training 173 methods, i.e., some Warmblood horses were trained for dressage and others for show jumping. This could be a reason for the variation in the plasma concentration of melatonin within the Warmblood 174 horses. In this study, these two groups were combined as Warmblood horses owing to the small 175 176 population. Thus, future studies should compare plasma melatonin concentrations between the two 177 groups of Warmblood horses.

Variations in plasma melatonin concentrations according to age and sex were also evaluated. Cold-blooded horses were excluded from this analysis because of the association of this breed with plasma melatonin concentrations. There was no difference in plasma melatonin concentrations

according to age (approximately 1–5 years, 6–12 years, >13 years; Tables 3), suggesting that melatonin 181 182 concentrations were unrelated to age. This result was in accordance with that of a previous study 183 reporting that melatonin concentrations in humans were unrelated to age, showing similar melatonin concentrations in healthy older (aged 65-81 years) and young (aged 18-30 years) individuals (28). 184 These results support our finding that the plasma concentration of melatonin in horses is not associated 185 186 with age. In this study, there was no difference in melatonin concentration between geldings and mares. 187 Furthermore, no difference in melatonin concentrations was detected between geldings and mares, indicating that melatonin concentrations in horses were sex hormone-independent. This result is 188 consistent with that of a previous study reporting no difference in melatonin secretion rates between 189 190 men and women (29).

191 In the present study, a correlation was observed between plasma melatonin concentrations and horse use (Table 5). The horses included in this study were chiefly used for horse competitions and 192 riding lessons, whereas some were being trained. As most of the young horses were cold-blooded, they 193 were excluded from the analysis of horse uses. Horses in the training program tended to exhibit higher 194 melatonin concentrations than the other horses. Notably, the horses used in competitions and for riding 195 lessons were extensively trained for safe riding contrary to the horses in the training program. Compared 196 with well-trained horses, partly trained horses tended to exhibit more aggressive behavior owing to their 197 198 inexperience with humans. This finding is consistent with that of a previous study showing that 199 melatonin concentrations affected aggressive behavior in rodent and fish models (30).

200

#### 201 The relation between melatonin and temperaments of horses

Docility is defined as the gentleness of personality or mind. To ensure safe riding and interactions, using horses exhibiting high docility is essential. Horses demonstrating low docility may engender hyperactivity and related problems, such as impulsiveness, inability to concentrate, and being easily distracted. In this study, plasma melatonin concentrations were not correlated with docility ranking, suggesting that melatonin is not associated with this aspect of temperament in horses. This result is in accordance with those reported previously. In humans, hyperactivity and plasma melatonin concentrations were not correlated (31). In addition, melatonin treatment did not reduce the symptoms
of patients with hyperactivity (32). These results suggest that melatonin is not involved in controlling
docility in horses. Similarly, there was no correlation between plasma melatonin concentrations and
affinity in horses. Among horses, affinity is assessed based on friendliness to other horses and humans.
Horses with high affinity tend to have good relationships with their herd (33). Furthermore, affinity has
been linked to social skills. Both animals and humans with low affinity may lack social skills, causing
behavioral disorders, including depression and anxiety (34).

215 Diminished social skills can also produce various pathological changes in the brain, thereby leading to behavioral changes (35). Depression, caused by the lack of monoamines, is frequently treated 216 with serotonin, a melatonin precursor. Serotonin increases the levels of neuromodulators and growth 217 218 factors by activating cell signaling pathways, eventually restoring monoamine synapses(36, 37). However, Waterman and coworkers (38) reported that the levels of 6-hydroxy melatonin sulfate, a 219 melatonin metabolite, did not differ between people with depressive disorders and healthy controls, 220 221 suggesting that melatonin is not related to depressive behaviors. Thus, the findings of these previous studies support our finding that melatonin is not associated with affinity in horses. 222

Dominant animals typically take the lead position during sexual and feeding activities. 223 Previous studies have reported melatonin increases the propensity to aggression in animals and humans. 224 225 For example, in female Siberian hamsters, melatonin induces aggressive behavior by regulating adrenal 226 androgens (39). In humans, high melatonin concentrations encourage aggressive behaviors, such as 227 irritability and anger (19). Exogenous melatonin administration has also been demonstrated to increase 228 aggression in animals and humans. However, we found no relationship between plasma melatonin 229 concentrations and dominant and aggressive behaviors in horses. Notably, in this study, the relationship 230 between endogenous melatonin instead of exogenous melatonin with aggression was analyzed. Based 231 on the results of these studies, we hypothesized that short-term aggressive behavior can be elicited in 232 response to exogenous melatonin administration. However, endogenous melatonin concentrations 233 cannot be used as an indicator of the degree of aggression.

234

Trainability refers to the ability to learn and quickly accept training. Trainability varies with

individual abilities, such as learning and memory. Several lines of evidence suggest a relationship 235 236 between melatonin and learning. For example, melatonin has been shown to improve symptoms of 237 neurodegenerative disorders, reducing neurooxidative stress as well as learning and memory deficits (40-42). Melatonin also facilitates short-term memory (43). However, the result of this study showed 238 no correlation between the plasma concentration and the ranking of trainability of horses. Notably, 239 240 Martini and coworkers (44) found that melatonin promotes the disappearance of learned responses and demonstrates no effect on memory acquisition. Different results of these two studies could be due to the 241 variation in observation time, interval, or stress levels. Thus, it is not clear that melatonin is associated 242 with the training ability of other species. However, the present study suggests that the plasma 243 244 concentration of melatonin cannot be used as a marker to evaluate the trainability of horses.

In conclusion, the average plasma melatonin concentrations differed according to the horse breeds. However, melatonin was not associated with docility, affinity, dominance, or trainability of the horses and is thus unlikely to be a useful biomarker for horse temperaments.

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249

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# **Table 1.** Assessment of horse temperament and scoring system

Temperament	Behavioral characteristics	Scoring
Docility	Behavioral response in an unfamiliar situation	High
	The time it takes to catch	(4-5 score)
Affinity	The degree of distance between humans	
	The time it takes to get familiar with strangers	
Dominance	The act of gaining the upper hand with other horses or over	Medium
	food	(2-3 score)
Trainability	The willingness to participate in training	
	The time it takes to achieve the goal of training	Low
		(0-1 score)

351

349

		Breed of horses	
Neurotransmitter	Thoroughbred	Warmblood	Cold-blooded horse
	(n = 15)	(n = 7)	(n = 6)
MEL (pg/mL)	$120.3 \pm 28.66^{a}$	$134.1\pm59.03^{ab}$	$34.8 \pm 5.07^{b}$

Table 2. Plasma concentration of melatonin among different horse breeds (mean  $\pm$  SEM)

<sup>a,b</sup> Means with a different superscript in the same row are significantly different; P < 0.05

		Age of horses	
Neurotransmitter	1 to 5 years	6 to 12 years	More than 13 years
	(n = 5)	(n = 11)	(n = 7)
MEL (pg/mL)	156.6 ± 70.03	127.1 ± 38.32	126.1 ± 47.67

**Table 3.** Plasma concentration of melatonin among different horse ages (mean  $\pm$  SEM)

-		Sex of	horses
	Neurotransmitter	Gelding $(n = 12)$	Mare (n = 11)
_	MEL (pg/mL)	123.7 ± 35.72	143.5 ± 43.29
360			
361			

# **Table 4.** Plasma concentration of melatonin among different horse sexes (mean $\pm$ SEM)

	Use of horses				
Neurotransmitter	Competition	In the training program	Riding lesson		
	(n = 8)	(n = 7)	(n = 7)		
MEL (pg/mL)	71.7 ± 32.54	126.0 ± 33.13	81.7 ± 14.20		

**Table 5.** Plasma concentration of melatonin among different horse uses (mean  $\pm$  SEM)

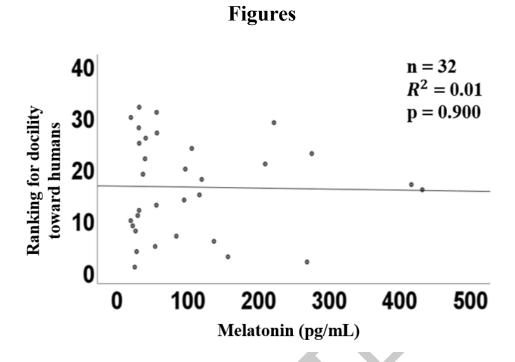


Figure 1. Linear regression was used to confirm the correlation between the plasma concentration of
 melatonin and the docility of horses. The linear correlation was not significant between melatonin
 concentration and docility.

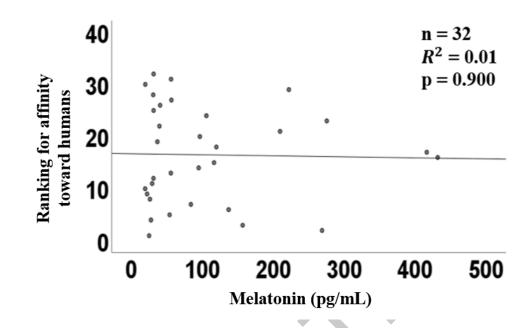




Figure 2. Linear regression was used to confirm the correlation between the plasma concentration of
 melatonin and the affinity of horses. The linear correlation was not significant between melatonin
 concentration and affinity.

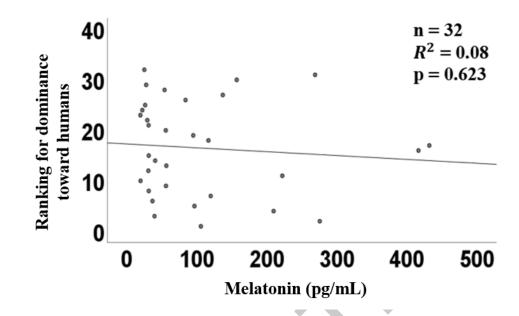
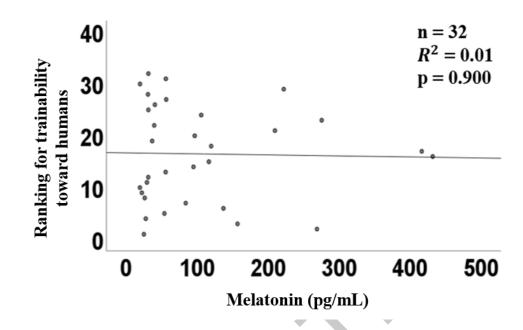




Figure 3. Linear regression was used to confirm the correlation between the plasma concentration of melatonin and the dominance of horses. The linear correlation was not significant between melatonin concentration and dominance.

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391 Figure 4. Linear regression was used to confirm the correlation between melatonin and horse

392 trainability. The linear correlation was not significant between melatonin and trainability.

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