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Article Title (within 20 words without abbreviations)	Reproductive ability of minipigs as surrogates for somatic cell nuclear transfer
Running Title (within 10 words)	Features of minipigs as surrogates
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Authors' contributions Please specify the authors' role using this form.	Conceptualization: JM, BC, SJK Data curation: JM, S-JK, JL, HK Formal analysis: JM, S-JK, BC Funding acquisition: JM, SJK Investigation: JM, S-JK, JL, HK Methodology: JM, S-JK Project administration: S-JK Resources: JL, HK Writing - original draft: JM Writing - review & editing: JM, S-JK, JL, HK, BC, SJK
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8 **Reproductive ability of minipigs as surrogates for somatic cell nuclear transfer**

9

10 **Running Title:** Features of minipigs as surrogates

11

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21

22

23 **Abstract**

24 Pigs are genetically, anatomically, and physiologically similar to humans. Recently,
25 pigs are in the spotlight as a suitable source animal for xenotransplantation. However, to use
26 pigs as source animals, pigs should be raised in designated pathogen-free facilities. There is
27 abundant data from embryo transfer (ET) experiments using farm pigs as surrogates, but data
28 on ET experiments using minipigs are scarce. Eighty minipigs were used for ET experiments
29 and after transplantation, the implantation and delivery rates were investigated. It was also
30 confirmed whether the pregnancy rate could be increased by changing the condition or surgical
31 method of the surrogate. In the case of minipigs that gave birth, the size of the fetal sac on the
32 28th day of ET was also measured. The factors that can affect the pregnancy rate such as estrus
33 synchronization program, ovulation status at the time of ET, the number of repeated ET
34 surgeries, and the ET sites, were changed, and the differences on the pregnancy rate were
35 observed. However there were no significant differences in pregnancy rate in minipigs. The
36 diameter of the implanted fetal sac on the 28th day after ET in the minipigs whose delivery
37 was confirmed was calculated to be 4.7 ± 0.5 cm. In conclusion, there were no significant
38 differences in pregnancy rate of minipigs in the comparative experiment on various factors
39 affecting the pregnancy rate. However, additional experiments and analyses are needed due to
40 the large individual differences of the minipigs.

41 **Keywords:** embryo transfer, fetal sac diameter, minipig, pregnancy

42

43

44 **Introduction**

45 The pig (*Sus scrofa*) is an omnivorous, monogastric mammal [1] that is anatomically,
46 biochemically, physiologically, and pathologically similar to human [2, 3]. There are about
47 300 breeds worldwide, and their weight varies from 50 kg to 350 kg, depending on the breed.
48 Based on their sizes, they are categorized into the large breed, medium breed, and small breed.
49 Currently, most of the pigs raised on the farms are Landrace, Yorkshire, Duroc, and their
50 hybrids. These hybrids are easy to breed, give rise to a lot of livestock, and are economical
51 because they grow faster than other breeds [4].

52 In general, in a transgenic pig generation using somatic cell nuclear transfer (SCNT), a
53 farm pig is used as a surrogate mother due to the high accuracy of the estrous synchronization
54 program that is established over a long period, the ability to conceive as a surrogate mother
55 have been proven. In the transgenic pigs, as the source animal for xenotransplantation,
56 controlling the zoonotic pathogens are important. In this respect, the control of pathogens
57 derived from the surrogate mother is difficult when farm pigs are used as surrogate mothers.
58 [5]. To prevent infection, at least the sows should be raised in specific pathogen-free (SPF)
59 facilities and used as surrogate mothers and the presence of infectious agents should be
60 monitored through periodic pathogen screening of the sows. However, in the case of using
61 sows from farms, it is not economical in terms of scale and operation of the SPF facilities due
62 to the size of the individual sow. On the other hand, minipigs have a great advantage in that
63 they are relatively small, weighing 32-140 kg compared to farm pigs [6, 7], and are easy to
64 breed in SPF or designated pathogen-free (DPF) facilities as experimental animals [1, 8]. In
65 the case of minipigs, they are already being used in research in various medical-related fields
66 such as toxicology, pharmacology, experimental surgery, and xenotransplantation [9].
67 However, compared to standardized farm pigs, minipigs may have large individual differences

68 in their sizes, fewer offspring, and low reproductive efficiency, since minipigs are not
69 developed for breeding [8, 10].

70 In pigs, most estrus occurs spontaneously, and once estrus begins, it repeats in a cycle
71 of 18 to 21 days. When estrus synchronization becomes possible, various artificial reproductive
72 technologies (ARTs) using frozen semen, sex-differentiated semen, or transgenic embryos can
73 be applied to pigs [11]. Therefore, over many years, highly purified human chorionic
74 gonadotropin (hCG), partially purified pituitary isolates (follicle-stimulating hormone (FSH)
75 and luteinizing hormone (LH)), synthetic gonadotropin-releasing hormone (GnRH) and GnRH
76 analogs have been used to induce estrus. In pigs, estrus synchronization has been applied in
77 various ways depending on the maturity and the degree of follicle development in the
78 individual [12]. Estrus is a highly regulated process that occurs due to the interaction of various
79 hormones. Briefly, GnRH is secreted from the hypothalamus, and followed by FSH and LH
80 secretion from the anterior pituitary gland due to stimulation by GnRH. The size of the ovarian
81 follicle grows and matures by the secreted FSH and LH, leading to ovulation. Estrogen is
82 secreted from the growing follicle, and various signs of estrus (e.g, redness and swelling of the
83 vulva, standing or immobilization response, LH surge, and ovulation) are exhibited by this
84 hormone. After ovulation, pregnancy is maintained by progesterone secreted from the corpus
85 luteum, or when pregnancy is not achieved, the corpus luteum rapidly degenerates and enters
86 the next estrus phase by Prostaglandin F₂ α (PGF₂ α). Predicting and controlling these hormonal
87 changes is a cumbersome task, but synchronization of estrus is essential for the transfer of
88 scheduled-produced transgenic pig embryos into the surrogates on heat within the due date [13-
89 16].

90 SCNT is a technology that removes the nucleus and polar body from an *in vitro* matured
91 oocyte to produce an oocyte that is lacking genetic material, then involves inserting a somatic
92 cell and fusing them to create a newly fertilized embryo [17, 18]. Transgenic animals can be

93 produced by inserting transgenic somatic cells. Using this method, 1) genomic research through
94 gene expression model production, 2) therapeutic drug development through disease model
95 animal production, and 3) source animal production for xenotransplantation through immune-
96 modulated transgenic animal production can be performed. Recently, with the discovery of
97 CRISPR/Cas9, the production of transgenic animals through SCNT has been accelerated, and
98 transgenic animals are being made from various animals (e.g, sheep [19], cow [20], mouse [21],
99 goat [22], pig [23], dog [24], camels [25], and monkey [26], etc.). However, even when farm
100 pigs with high fertility efficiency are used as surrogates, the production rate of transgenic
101 animals through SCNT is too low. In addition, it was reported that the fusion rate decreased
102 when the SCNT procedure was performed on minipig somatic cells on farm pig oocytes [27].
103 This means that the probability could be lower if minipigs were used as surrogates.

104 Therefore, this study intends to compare the different factors such as implantation and
105 delivery rate when minipigs are used as surrogates for the production of transgenic pigs. In
106 addition, we try to find out what factors affect the implantation and delivery rate in minipigs,
107 and to find a way to increase the overall pregnancy rate.

108

109 **Materials and methods**

110 **Ethics statement**

111 The experimental protocols were approved by the International Animal Care and Use
112 Committee of Apures Inc (APURES-IACUC 200709-001, 210506-001, and 220420-001).
113 Minipigs were used as surrogate mothers raised in Apures' SPF facility (Pyeongtaek, Korea).

114

115 **Estrus synchronization program**

116 The selected surrogate mothers were fed for 18 days by adding Altrenogest (MSD,
117 Seoul, Korea) to the feed at the rate of 5 mL/head (once in the morning) per day. For subjects

118 who received Altrenogest for 18 days, 5 mL/head of PG-600 was intramuscularly injected to
119 induce estrus after a rest period of 1 day. After injection, a visual check for the estrus was
120 performed for 4 to 5 days, and selected a surrogate mother for surgery (Figure 1).

121

122 **Somatic cell nuclear transfer (SCNT)**

123 To produce cloned porcine embryos, donor cells were subjected to SCNT, which was
124 done following the protocol previously established in our studies with a slight modification
125 [28]. Briefly, immature oocytes were obtained from pig ovaries from slaughterhouse and
126 cultured for 40 hrs to induce maturation. The *in vitro* matured oocytes were enucleated using
127 an aspiration pipette, then microinjected with transfected donor cell, fused by electrical
128 stimulation, and further activated using an electrical protocol. The resulting activated embryos
129 were cultured for 7 days. The embryos were evaluated for cleavage on Day 2 and blastocyst
130 formation on Day 7, and the total cell number of cloned blastocysts were counted on Day 7.

131

132 **Embryo transfer (ET)**

133 The surrogate minipig was restrained, and anesthesia was induced by injecting
134 ketamine (5 mg/kg; Yuhan, Seoul, Korea) and xylazine (1 mg/kg; Cat. No. 86140632-01, Bayer,
135 NJ, USA) into an ear vein, as previously described [29]. After intravenous injection, the
136 unconscious pig was placed on a surgery table in a ventrodorsal posture. General anesthesia
137 was maintained with isoflurane (Hana Pharm, Seoul, Korea) under the supervision of a
138 veterinarian. Up to 300 reconstructed embryos were loaded into a Tomcat catheter (Cat. No.
139 sc-363807, Santa Cruz Animal Health, TX, USA) with PZM-3 equilibrated in 5% CO₂ with
140 an air cushion. The embryos were placed into the uterine tubes of each surrogate animal
141 through a Tomcat catheter via a small puncture made with a suture needle (Cat. No. 6307-71;
142 Covidien, MA, USA).

143

144 **Progesterone analysis**

145 Blood samples were collected at the time of ET surgery. While under general anesthesia,
146 blood samples were collected from the jugular veins of surrogate pigs using 18-gauge needles
147 connected to disposable syringes. The samples were put into serum-separating tubes (Cat. No.
148 367955, BD Biosciences, NJ, USA), centrifuged $5,000 \times g$ for 10 min at 25°C to separate serum
149 from blood after clotting, and were delivered to the laboratory at 0°C in an ice box. The samples
150 were then transported to an analysis center (Neodin Medical Institute, Seoul, Korea) to measure
151 the P4 concentration.

152

153 **Statistical analysis**

154 All results are presented as the mean \pm standard error (SE). Statistical significance was
155 estimated using the chi-square test, unpaired t-test, and analysis of variance. All statistical
156 analyses were performed using GraphPad Prism 8 (ver. 8.3.0; GraphPad Software, CA, USA)
157 and p-values of <0.05 were considered to be statistically significant.

158

159 **Results**

160 **Estrus synchronization program differences and pregnancy rates in minipigs**

161 Since transplantation is performed through surgery, ovulation was accurately
162 confirmed by visually observing the condition of the ovaries. Even though the estrus
163 synchronization program was used, minipigs in pre-ovulation were 80.4% (37/46), in mid-
164 ovulation were 15.2% (7/46), and in post-ovulation were 4.3% (2/46). Most of them were
165 confirmed to be in the pre-ovulation state. Therefore, the estrus synchronization program was
166 conducted one day earlier, and the difference in the pregnancy rates was investigated. In

167 addition, we observed the changes in progesterone concentration according to the change of
168 the estrus synchronization program.

169 Of the 80 experimental groups, a total of 57.5% (46/80) minipigs were induced for
170 estrus synchronization one day (i.e, on 'Day 0') and 42.5% (34/80) minipigs one day earlier
171 (i.e, 'Day -1'). Implantation rates were observed between 'Day 0' and 'Day -1' with 32.6%
172 (15/46) and 58.8% (20/34), respectively. Delivery rates were found between 'Day 0' and 'Day
173 -1' to be at 20.0% (3/15) and 15.0% (3/20), respectively. (Table 1. Estrus synchronization
174 program section). In all the factors which were compared, there are no statistically significant
175 differences. The difference in the concentration of progesterone according to the changes in
176 the estrus synchronization program was 1.984 ± 0.694 ng/mL in the 'Day 0' group (n=12) and
177 4.283 ± 1.380 in the 'Day -1' group (n = 20) with no statistically significant difference between
178 groups (Figure 2a and Supplementary Table 1).

179 Progesterone concentrations in minipigs were 4.185 ± 1.571 ng/mL, 2.555 ± 0.799
180 ng/mL, 1.908 ± 0.811 ng/mL, and 3.704 ± 1.801 ng/mL when implantation failed, implantation,
181 miscarriage, and delivery, respectively with no significant difference (Figure 2b and
182 Supplementary Table 2).

183

184 **Factors that affect pregnancy in miniature pigs**

185 In order to increase the production efficiency of transgenic pigs from minipigs, the
186 correlation of various factors with pregnancy-related factors was compared. First, the state of
187 ovulation in the minipig ovary at the time of ET surgery was observed and the relationship
188 between ovulation status and pregnancy was confirmed. In a total of 80 minipigs, 85.0% (68/80)
189 pigs were identified to be in pre-ovulation, 11.3% (9/80) pigs in mid-ovulation, and 3.8% (3/80)
190 pigs in post-ovulation. Implantation rates were confirmed in 45.6% (31/68), 33.3% (3/9), and
191 33.3% (1/3) pigs with pre-, mid-, and post-ovulation, respectively. Delivery rates were 16.1%

192 (5/31), 0.0% (0/3), and 100.0% (1/1) pigs with pre-, mid-, and post-ovulation, respectively.
193 However, in the all factors which were compared, there are no statistically significant
194 differences. In minipigs, there was no correlation among the implantation and delivery rates
195 according to the ovulation status of the ovaries (Table 1. Ovulation status section).

196 Next, the relationship between the number of ETs and pregnancy was examined. Of a
197 total of 80 minipig surrogates, 46.3% (37/80) were the first to undergo ET, 37.5% (30/80) to
198 the second ET, and 16.3% (13/80) to the third ET. Implantation rates were confirmed in 37.8%
199 (14/37), 43.4% (13/30), and 61.5% (8/13) minipigs after the first-, the second-, and the third-
200 operation, respectively. The rate of delivery was confirmed as 7.1% (1/14), 30.8% (4/13), and
201 12.5% (1/8) minipigs after the first operation, the second operation, and the third operation,
202 respectively. However, among the factors which were compared, there are no statistically
203 significant differences. In minipigs, there was no correlation among the implantation and
204 delivery rate rates according to the number of ET (Table 1. Number of surgeries section).

205 Finally, it was checked whether implantation of SCNT- embryos into one fallopian tube
206 and transplantation into both fallopian tubes could affect pregnancy. When transplanted into a
207 single fallopian tube, approximately 300 transgenic embryos were implanted in one fallopian
208 tube, and when transplanted into both fallopian tubes, 150 embryos were transplanted into the
209 right fallopian tube, and the remaining 150 embryos were transplanted into the left fallopian
210 tube. We unified the number of embryos implanted in one surrogate mother to about 200. A
211 total of 80 surrogate mothers were identified, 85.0% (68/80) were transplanted embryos into
212 one fallopian tube, and 15.0% (12/80) were fertilized embryos in both fallopian tubes. The
213 implantation rates were 36.8% (25/68) and 83.3% (10/12) when transplanted on a single side
214 and transplanted on both sides, respectively. The delivery rates were 16.0% (4/25) and 20.0%
215 (2/10) when transplanted on a single side and transplanted on both sides, respectively. (Table

216 1. Embryo transfer sites section). There were no significant differences in implantation and
217 delivery rate by embryo transfer sites.

218

219 **Fetal sac diameter of the miniature pigs at 4 weeks after embryo transfer**

220 So far, the fetal sac diameter of transgenic fertilized embryos around day 28 in minipigs
221 has not been reported. In this study, 28-day fetal sac diameters were measured using a
222 retrospective method from a total of 6 minipigs that had completed delivery using transgenic
223 fertilized embryos, and a result of 4.7 ± 0.5 cm was obtained (Total number of fetal sacs
224 checked $n = 10$) (Figure 3 and Table 2).

225

226 **Discussion**

227 In the case of implantation rate, according to the estrus synchronization program, ‘Day
228 0 : Day -1 = 32.6% (15/46) : 58.8% (20/34)’, and according to embryo transfer sites, ‘Single
229 oviduct : Both oviduct = 36.8% (25/68) : 83.3% (10/12)’ were observed, respectively. One of
230 the two groups may have numerically higher results, but no statistically significant differences
231 were found. These are probably due to the small number of minipigs used in the experiment. It
232 was also confirmed if the estrus synchronization program was started a day earlier, the
233 concentration of progesterone at the time of ET was increased. However, it would be better to
234 start the estrus synchronization program a day earlier, considering that implantation rates and
235 delivery rates are not significantly different from those of the group that did not start the estrus
236 synchronization program a day earlier.

237 In minipigs, it was confirmed that there was no significant correlation between the
238 concentration of progesterone at the time of ET, the estrus synchronization program, and the
239 ovulation state of the ovaries. This may be due to the small number of individuals in the
240 minipigs whose progesterone concentration was measured, but it was confirmed that there was

241 a large difference between the individuals responding to the estrus synchronization program as
242 the range of progesterone concentration between the individuals was large. This means that
243 since the minipigs used for ET have not yet been inbred, there are differences in genetic,
244 physiological, and reproductive characteristics of each individual.

245 It was confirmed that there was no significant difference in pregnancy statuses
246 regardless of the ovulation status of the ovaries confirmed at the time of ET in minipigs, the
247 number of surgeries performed, and whether the ET was performed using one fallopian tube or
248 both fallopian tubes. In the case of miscarriage rates among pregnancy statuses, the influence
249 of other factors, such as the transgenic technology used in the establishment of the donor cells
250 for SCNT and the type of transgene, may be greater than the effect of the surrogate itself. As
251 mentioned above, in the case of minipigs, it is thought that there are many differences in
252 individual characteristics because they have just been used as experimental animals. As data
253 from more individuals are accumulated, there is a possibility that significant differences can be
254 identified in the experiments, and it is a future task to find an optimal method for using minipigs
255 as a surrogate based on these data.

256 According to Knox *et al*, the fetal sac diameter in pigs gradually increased from the
257 18th day to the 29th day of gestation, reached a peak at 6.5 cm, decreased until the 39th day,
258 and started to increase again from the 42nd day [30]. In order for transgenic fertilized embryos
259 to develop properly to the end, it was confirmed that the fetal sac diameter should be about 4.7
260 \pm 0.5 cm on the 28th day of diagnosis after implantation (ET), and the appearance of the fetus
261 was observed in many cases (Figure 3). This is a smaller size than 6.5 cm in farm pigs, but it
262 is thought to be smaller in fetus size due to the difference according to subspecies. It was
263 observed that implantation can be diagnosed if the fetal sac diameter is greater than 1.0 cm by
264 day 28, but this small fetal sac does not lead to delivery in many cases. Finally, to bring
265 transgenic pigs into the DPF facility, live offspring production using SCNT and C-sec will be

266 performed, and to control the source of infection, it was determined to use pigs raised in at least
267 SPF facilities as surrogates. Therefore, minipigs currently managed in SPF facilities were used
268 for the experiment. However, it was confirmed that the minipigs had lower fertility and delivery
269 rates compared to farm pigs which are specialized for breeding. To resolve this, various factors
270 that can affect fertility in minipigs have been tested, but no definitive solution has been found
271 so far. One of the main reasons for this is that the ET method developed mainly for farm pigs
272 so far is not applied equally to minipigs with different genetic or reproductive physiology. In
273 addition, the difference between donor cells used in SCNT, transgenic technology used in the
274 establishment of donor cells [31], and the number of the target genes in donor cells may have
275 affected the pregnancy rate. Further research is needed on how to increase the pregnancy
276 efficiency of minipigs while continuously evaluating various factors.

277 In conclusion, it was confirmed that there was no effect on implantation and delivery
278 rates when the estrus synchronization program, ovulation status, number of surgeries, and
279 embryo implantation site were changed in minipigs. Furthermore, it was confirmed that there
280 was no correlation between the pregnancy statuses and the concentration of progesterone at ET
281 surgery. In order for a transgenic fertilized embryo to develop into full term in minipigs, it
282 should be about 4.7 ± 0.5 cm on the 28th day, and a fetus is mostly observed in the fetal sac.

283

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290

291 **Conflict of interest**

292 The authors declare no conflicts of interest.

293

294 **Data availability**

295 The data underlying this article will be shared on reasonable request to the
296 corresponding author.

297

298 **Author Contributions:**

299 **Conceptualization: JM, BC, SJK**

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301 **Formal analysis: JM, S-JK, BC**

302 **Funding acquisition: JM, SJK**

303 **Investigation: JM, S-JK, JL, HK**

304 **Methodology: JM, S-JK**

305 **Project administration: S-JK**

306 **Resources: JL, HK**

307 **Writing - original draft: JM**

308 **Writing - review & editing: JM, S-JK, JL, HYK, BC, SJK**

309

310

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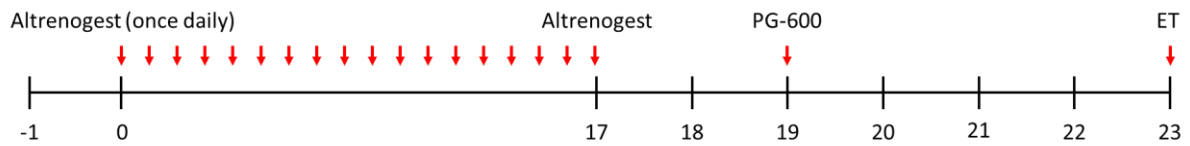
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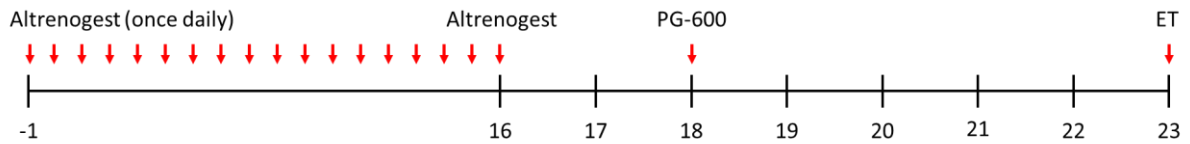
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1 **Figures**

a. Estrus synchronization (Day 0)



b. Estrus synchronization (Day -1)



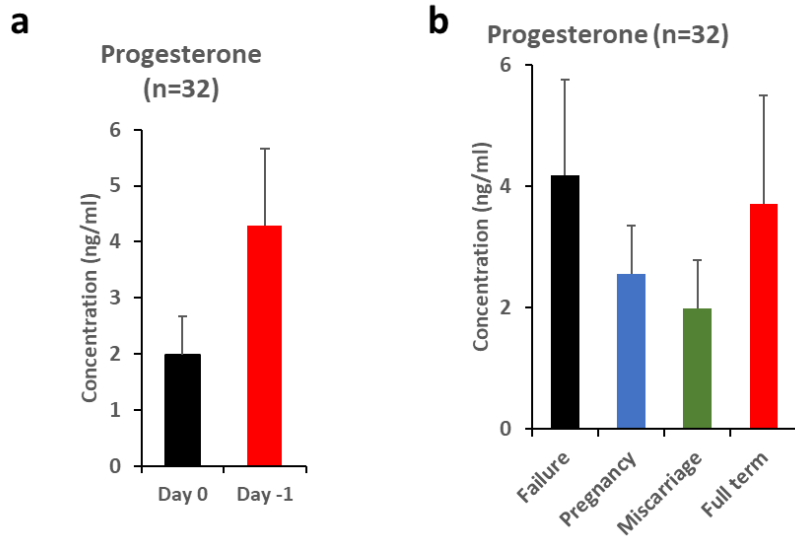
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3 **Figure 1. Illustration of estrus synchronization program.**

4 (a) Estrus synchronization program started on 'Day 0'

5 From Day 0 to Day 17 (total of 18 days), Altrenogest is mixed with feed and fed once a day, then no treatment on
6 Day 18, and muscularly injected with PG-600 on Day 19. After that, estrus is visually checked for about 4 days,
7 and transplanted on Day 23.

8 (b) Estrus synchronization program started on 'Day -1'

9 From Day -1 to Day 16 (total of 18 days), Altrenogest is mixed with feed and fed once a day, then no treatment
10 on Day 17, and muscularly injected with PG-600 on Day 18. After that, estrus is visually checked for about 5
11 days, and transplanted on Day 23.



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Figure 2. Progesterone concentration differences between estrus synchronization program and pregnancy statuses.

(a) Progesterone concentration related to estrus synchronization program

(b) Progesterone concentration differences among pregnancy statuses



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Figure 3. Three representative images of fetal sacs on the 28th day after ET of the miniature pig. When the average of the longest diameters was obtained from a total of 10 fetal sacs, it was measured to be 4.7 ± 0.5 cm.

Tables

Table 1. Differences in pregnancy and delivery rates according to multiple factors

Factors		No. of surrogates	No. of pregnancy (Implantation rate)	No. of Delivery (Delivery rate)
Estrus synchronization program	Day 0	46	15 (32.6 %)	3 (20.0%)
	Day -1	34	20 (58.8%)	3 (15.0%)
	Total	80	35 (43.8%)	6 (17.1)
Ovulation status	Pre-ovulation	68	31 (45.6 %)	5 (16.1%)
	Mid-ovulation	9	3 (33.3%)	0 (0.0%)
	Post-ovulation	3	1 (33.3%)	1 (100.0%)
	Total	80	35 (43.8%)	6 (17.1%)
Number of surgeries	First	37	14 (37.8 %)	1 (7.1%)
	Second	30	13 (43.4%)	4 (30.8%)
	Third	13	8 (61.5%)	1 (12.5%)
	Total	80	35 (43.8%)	6 (17.1%)
Embryo transfer sites	Single oviduct	68	25 (36.8%)	4 (16.0%)
	Both oviduct	12	10 (83.3%)	2 (20.0%)
	Total	80	35 (43.8%)	6 (17.1%)

Table 2. Fetal sac diameter on 28th day after embryo transfer

No.	Fetal sac length (cm)
1	6.2
2	5.2
3	6.5
4	4.8
5	4.8
6	6.0
7	5.2
8	2.0
9	3.0
10	2.8
Mean ± SE	4.7 ± 0.5