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8 Abstract

9 Exercise plays an important role in regulating energy homeostasis, which affects the diversity 10 of the intestinal microbial community in humans and animals. To the best of the authors' 11 knowledge, few studies have reported the associations between horse gut microbiota along 12 with their predicted metabolic activities and the athletic ability of Jeju horses and 13 Thoroughbreds living in Korea. This study was conducted to investigate the association 14 between the gut microbiota and athletic performance in horses. This study sequenced the V3 15 and V4 hypervariable regions of the partial 16S rRNA genes obtained from racehorse fecal samples and compared the fecal microbiota between high- and low-performance Jeju horses 16 17 and Thoroughbreds. Forty-nine fecal samples were divided into four groups: high-18 performance Jeju horses (HJ, n = 13), low-performance Jeju horses (LJ, n = 17), high-19 performance Thoroughbreds (HT, n = 9), and low-performance Thoroughbreds (LT, n = 10). The high-performance horse groups had a higher diversity of the bacterial community than the 20 21 low-performance horse groups. Two common functional metabolic activities of the hindgut 22 microbiota (i.e., tryptophan and succinate syntheses) were observed between the low-23 performance horse groups, indicating dysbiosis of gut microbiota and fatigue from exercise. 24 On the other hand, high-performance horse groups showed enriched production of polyamines, 25 butyrate, and vitamin K. The racing performance may be associated with the composition of 26 the intestinal microbiota of Jeju horses and Thoroughbreds in Korea.

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29 Keywords: Fecal microbiota, Jeju horse, NGS, Racing performance, Thoroughbred

31 INTRODUCTION

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The gut microbiota performs various essential digestive, protective, and metabolic functions for the host's health [1]. Such benefits include the digestion of complex host-indigestible polysaccharides and endogenous intestinal mucus, pathogen displacement, and synthesis of vitamins [2]. Horses are herbivores whose digestive system has evolved to handle large amounts of a plant-based diet in the large intestine [3-5]. Therefore, horses can obtain energy effectively through fermentation by the microbial activities in their hindgut, mainly in the cecum [1].

40 Although dietary habits play a major role in regulating the gut microbiota, physical exercise is also considered one of the main environmental factors that might alter the intestinal 41 42 microbiota [6]. Exercise has many physiological effects, including the improved athletic 43 ability of the bone and muscle, digestion of nutrients, and stimulation of the immune system 44 in humans [7]. The horse study reported that exercise promotes intestinal motility, accelerates 45 the passage rate of intestinal contents, and decreases the contact time between mucosa and 46 pathogens in the intestine [8]. In addition, physical exercise contributes to the production of 47 bile acids and short-chain fatty acids (SCFAs) for energy production in rats, which modifies 48 the gut microbiota [9].

The host's energy requirement increases during physical activities in humans and animals. Previous studies reported that regular exercise could significantly shift the gut microbial composition, positively affecting energy homeostasis in humans [10]. Exercise can increase the alpha-diversity of the gut microbiota and enhance the gut microbiota-derived SCFAs within athletes [11]. It has been reported that habitual marathon runners had a larger amount of *Veillonella*, which provided energy sources to the muscle, improving their athletic ability [12]. Overweight women who exercised for six weeks had increased an abundance of 56 Akkermansia that enhanced their metabolic activities while decreasing Proteobacteria that 57 could cause inflammation in the gut [13]. Other studies reported changes in the gut microbiota 58 for Standardbreds and Thoroughbreds, in which the levels of Firmicutes, Bacteroidetes, 59 Proteobacteria, and Spirochaetes phyla increased significantly after training [14].

60 A horse study reported that fatigue and inadequate recovery cause physical stress, leading to performance decline [15]. Although genetic factors likely play major roles in maintaining 61 62 the high performance of racehorses, other factors, such as age, conformation, training, diet, 63 and fitness, also affect the racing performance [16]. Several studies have examined the 64 association of the athletic performance with gut microbiota in humans and animals [6, 14, 17]. The roles of gut microbiota on the racing performance of horses, however, are not entirely 65 66 understood. The aim of this study was to evaluate the association of the microbial 67 composition and their predicted metabolic activities with the racing performance of Jeju 68 horses and Thoroughbreds in Korea based on the analysis of partial 16S rRNA gene sequence 69 data.

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72 MATERIALS AND METHODS

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74 Horse descriptions and fecal sampling

All animal protocols were approved by the Institutional Animal Care and Use Committee of the Korea Racing Authority (KRA IACUC-2009-AEC-2007). Horse fecal samples were collected from Jeju and Busan-Gyeongnam racecourse in Korea. Forty-nine fecal samples were collected from individual horses: high-performance Jeju horses (HJ, n = 13), lowperformance Jeju horses (LJ, n = 17), high-performance Thoroughbreds (HT, n = 9), and lowperformance Thoroughbreds (LT, n = 10). Table 1 provides detailed descriptions of the horses

81 used in this study. The Korea Racing Authority (KRA), the regulatory authority for horse 82 racing in South Korea, has their own rating system for racehorses, in which the ability of 83 racehorses is evaluated based on their past racing records. The rating system typically ranges 84 from 0 to 140 with the higher numbers indicating greater racing ability. These scores are 85 calculated based on their past records in races, and these scores are used in the racing industry 86 to determine handicap levels as well as race programs for each horse. With the rating system, 87 horses were classified into 5 different levels. In this study, we used the scores calculated 88 based on scores as of January 2021 and considered classes 1 and 2 as high-performance 89 horses, while classes 4 and 5 as low-performance horses. All horses were selected carefully to minimize the variations in age, body weight (Table 1), diet, training, body condition scoring 90 (BCS), soundness, vaccination, deworming, and medication after undergoing a medical 91 examination (Table S1) and checking their medical history and treatment records. Horses were 92 93 previously acclimated to their racecourses, and no changes in diet, housing, or training 94 conditions were noted for the three months before the study. All horses received roughage, such as alfalfa and timothy, and concentrated feed totally 2.5 % to 3 % per body weight every 95 96 day. Jeju horses and Thoroughbreds diets, however, had slightly different diets as shown in Table 2. All horses had access to water ad libitum throughout the study. The fecal samples 97 98 were collected directly from the rectum to minimize environmental contamination using clean 99 rectal gloves and sterile lubrication (Kruuse, Langeskov, Denmark), as described previously 100 [18]. Each sample was placed in a sealed collection bag and stored at -80° C until DNA 101 extraction.

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103 Microbial community analysis

The fecal DNA was extracted using a PowerFecal DNA extraction kit (Qiagen, Hilden, Germany). The V3 and V4 regions of the partial 16S rRNA gene were amplified by a polymerase chain reaction (PCR) using the 341F and 806R primer sets [19]. Two-step PCR

107 was performed to construct the MiSeq library. Sequencing was performed at Macrogen Inc. 108 (Seoul, Korea) according to the manufacturer's instruction. The sequence data were processed 109 using MOTHUR version 1.45.0 according to the standard operational protocol described 110 online (https://mothur.org/wiki/miseq_sop/) with a minor modification of singleton removal 111 after the pre.cluster subroutine [20]. Silva.nr_v138 was used for alignment, and RDP version 112 18 was used for the taxonomic classification [21]. The operational taxonomic units (OTUs) 113 were assigned using the opti.clust algorithm with a sequence distance at 0.03 [22]. The 114 PICRUSt2 and MetaCyc database was used to predict the metabolic activities based on the 115 16S rRNA gene sequences [22]. All sequenced genes were deposited in the NCBI SRA 116 database (accession number; PRJNA817386).

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118 Statistics

119 MOTHUR was used to calculate the ecological indices, Chao I and Shannon, for the species 120 richness and diversity, respectively. Non-metric multidimensional scaling (NMDS) was performed and plotted with ellipses at the 95% confidence level using the vegan R package. 121 MOTHUR was used to analyze the molecular variances (AMOVA) to determine the 122 123 significant differences in the fecal microbiota in the study. Differential abundance analysis 124 was performed using the linear discriminant analysis effect size (LEfSe) [23]. The ALDEx2 R 125 package was used for the OTUs and predicted metabolic activities [24]. A Wilcoxon rank-126 sum test was applied to compare the ecological indices. The differences were considered significant 127 at *p* < 0.05.

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130 **RESULTS**

132 **α-Diversity Analysis**

133 All samples showed a Good's coverage greater than 98%, suggesting that sequence depth was 134 sufficient to cover most of the species in the samples (Fig. S1). The difference in alpha-135 diversities between the high- and low-performance horse groups was analyzed using the Chao 136 I and Shannon indices for species richness and diversity estimation, respectively (Fig. 1). The 137 species richness of HJ was significantly higher than that of LJ (p < 0.05) (Fig. 1A). HT also 138 had a higher species richness than LT, but the difference was not statistically significant (p =139 0.091) (Fig. 1C). The diversity, however, was significantly higher in the high-performance 140 horses for both Jeju horses and Thoroughbreds (p < 0.05) (Fig. 1B and 1D).

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142 β-Diversity Analysis

Based on NMDS analysis, the beta-diversity showed that the fecal microbiota of the highperformance horse groups was significantly different from each counterpart (p < 0.05) (Fig. 2), indicating that the gut microbiota affects the racing performance. Although the distance of gut microbiota between HT and LT groups was closer than that of HJ and LJ groups in NMDS analysis, the results from AMOVA suggested that HT is significantly different from LT microbiota (Table S2) (p < 0.05).

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150 Taxonomic Composition Analysis

151 Comparisons of the fecal microbial communities were performed at the bacterial phylum, 152 family, and genus levels (Fig. 3). Firmicutes and Bacteroidetes were the predominant phyla, 153 followed by Proteobacteria and Verrucomicrobia. Among the Jeju horses, Firmicutes were 154 more abundant in LJ, while Actinobacteria was more in HJ (Fig. S2A). In contrast, 155 Actinobacteria were more abundant in LT, and Spirochaetes were more abundant in HT (Fig. 156 S2B). At the family level, Ruminococcaceae was more abundant in both high-performance 157 horses (p < 0.05) (Fig. S2C and S2D).

158

159 Differentially Abundant Genera

160 The differentially abundant genera in all groups were identified by LEfSe (Fig. 4). Significant 161 differences were observed between the fecal microbiota of high- and low-performance horses 162 in both breeds (p < 0.05). High performance horse groups showed a significantly higher 163 abundance of fiber fermenting bacteria compared to low-performance horse groups (p < 0.05). 164 Specifically, the HJ group exhibited a greater abundance of Lachnospiraceae_unclassified, Prevotella, and Ruminococcus, while the HT group had a higher abundance of 165 166 Lachnospiraceae_unclassified, Ruminococcaceae_unclassified, Oscillibacter, and 167 Ruminococcus2 (p < 0.05). By contrast, pathogenic species were found to be more abundant 168 in the low-performance group. Escherichia/Shigella, Enterococcus, and Streptococcus were 169 more abundant in the LJ group, while *Pseudomonas* was more abundant in the LT group (p < p0.05). Treponema, some species of which are known as human pathogenic bacteria, was more 170 171 abundant in HT [25].

172

173 Comparison of the Metabolic Activities of the Fecal Microbiota between High- and Low174 Performance Horses

Tables 3 and 4 list the significantly enriched metabolic activities of the fecal microbiota among the high-performance horse groups compared to those of low-performance horse groups (p < 0.05). Among the HJ group, metabolites related to polyamine syntheses, such as L-methionine salvage cycle III (PWY-7527) and norspermidine biosynthesis (PWY-6562), plant-derived fiber digestion (i.e., HYDROXYPHENYLACETATE-DEGRADATION-PWY), and methanol oxidation (PWY-7616) were enriched. The HT group, however, was enriched with the metabolic activities involved in plant-derived fiber digestion, such as rhamnose (PHAMCAT-PWY) and mannan (PWY-7456), and the production of SCFAs and vitamins
(e.g., demethylmenaquinol-6 (PWY-7373)).

On the other hand, there were five metabolic pathways (i.e., PWY-6629, PWY-6165, ORNDEG-PWY, ARGDEG-PWY, and ORNARGDEG-PWY) enriched among the lowperformance horse groups in both LJ and LT (Table S3 and S4). The metabolites involved in these metabolic pathways included L-tryptophan, chorismate, 4-aminobutanoate (GABA), and succinate.

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190

191 **DISCUSSION**

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193 Considering that the intestinal microbiota is sensitive to many factors, including the 194 environment, diet, and age, Physical exercise is also associated with the positive modulation 195 of intestinal microbial diversity. The current study examined the association of the gut 196 microbiota on the racing performance of horses.

197 A comparison of the alpha-diversity revealed a higher species diversity in high-198 performance horse groups than in low-performance horse groups. In addition, significantly different beta-diversity was observed among the groups (p < 0.05). Exercise increases the 199 200 diversity of human gut microbiota, and the mode and intensity of exercise affect the degree of 201 changes in gut microbiota [26-28]. Moreover, Liu et al. reported that muscle phenotypes can 202 be directly affected by altering the gut microbiota [29]. Together, based on previous studies 203 [26-29], it can be inferred that the racing performance of Jeju horses and Thoroughbreds in 204 Korea is likely affected by the composition of the intestinal microbiota.

The normal horse gut microbiota comprises two major phyla, Firmicutes and Bacteroidetes, and to a lesser extent, Verrucomicrobia, Euryachaeota, and Spirochaetes [1, 18]. In the present study, a higher abundance of Actinobacteria was observed in HJ than HT. Because Jeju horses and Thoroughbreds have different baseline gut microbiota [18], the effects of exercise on the gut microbiota may differ. High-intensity exercise that exceeds an individual's ability may also adversely affect the gut microbiota [30].

The high-performance horse groups had significantly different compositions of fecal microbiota from their counterparts (p < 0.05). Physical exercise modified various phyla with an increase in Bacteroidetes and a decrease in Firmicutes regardless of diet [17]. Since animal and human studies have shown that the F/B ratio is a relevant marker of obesity, the ratio may also indicate variations in capacities of fat storage, energy collection from nutrients, and energy expenditure [31]. In this study, the F/B ratios did not show a significant difference, but higher Firmicutes (p < 0.05) were observed in the LJ group than in the HJ group.

Fiber fermenting bacteria were found to be significantly more abundant in the high-218 219 performance horse groups than in the low-performance horse groups (p < 0.05). By contrast, 220 pathogenic species were found to be more abundant in the low-performance group (p < 0.05). 221 commensal fiber-digesting bacteria, such as Lachnospiraceae_unclassified, Several 222 Ruminococcaceae_unclassified, Ruminococcus, Ruminococcus2, Prevotella, and Oscillibacter, were more abundant in the high-performance horse groups than the low-performance horse 223 224 groups [32-34]. Lachnospiraceae assists in the digestion of indigestible polysaccharides in 225 humans and horses [32]. Many of the species belonging to the family Ruminococcaceae also 226 breaks down the fiber effectively and produces butyrate, which is one of the major SCFAs 227 found in the intestines of herbivores [33]. Prevotellaceae is abundant in horses living on 228 pasture and degrades the proteins and carbohydrates [34]. Lachnospiraceae, Ruminococcaceae, 229 and Oscillibacter promote fermentation and produce SCFAs as energy sources in horses and 230 other animals [32, 33]. Faecalitalea, whose abundance was higher in HJ, may produce 231 butyrate and polyphenols with antioxidant activities [35], thereby benefiting intestinal health 232 and fatigue recovery [36].

233 Coriobacteriaceae_unclassified was higher in HJ than LJ in the present study. 234 Coriobacteriaceae family has been reported to increase in the human gut after physical 235 exercise, such as long-distance running [36]. This bacterial family is involved in converting 236 polyphenols to bioactive derivatives and in the metabolism of bile salts and aldosterone. The 237 metabolite of aldosterone holds important functions, such as fuel and energy storage and 238 membrane stability [37]. Therefore, the Coriobacteriaceae family was also a potential 239 biomarker linking exercise with health improvement [37]. Thus, having a more abundant 240 Coriobacteriaceae family, Faecalitalea, that help generate energy is seemed to influence high-241 performance horse groups to achieve good race records.

242 Pseudomonas, Escherichia/Shigella, Enterococcus, and Streptococcus, were more abundant 243 in the low-performance horse groups. Some species of Pseudomonas causes glanders, which 244 is a contagious zoonotic infectious disease in humans and horses [38]. Some species of 245 Escherichia/Shigella and Enterococcus cause colitis [39]. Some species of Streptococcus 246 causes strangles, meningitis, and colitis in horses [39]. The higher abundance of Treponema, a 247 pathogenic bacterium, in horses that underwent training is consistent with previous studies 248 [25]. In this study, statistic comparison did not show significant differences neither for age 249 nor body weight (Table 1), thus our study indicated the race performance as a single feature 250 associated with gut microbiota.

251 The high-performance Jeju horse group showed enriched metabolisms related to polyamine 252 biosynthesis, while the high-performance Thoroughbreds showed enriched SCFA and vitamin 253 production. Polyamines produced in the gut have a positive effect in regulating the intestinal 254 permeability by controlling intestinal tight-junctions [40], while SCFA provides diverse 255 beneficial health effects, including energy to epithelial cells and regulating immunity. Vitamin 256 K produced in the gut prevents blood coagulation [41]. Together, these metabolisms improve 257 intestinal health. Moreover, enriched metabolisms of methanol oxidation were observed in HJ, 258 which were previously suggested as a marker of healthy horses [42].

259 On the other hand, metabolites involved in tryptophan and succinate syntheses were 260 enriched among low-performance horses. Tryptophan is an ingredient used as calmatives for 261 fearful or excitable horses [43]. Farris et al. reported that the horses given tryptophan showed 262 a tendency to use less muscle glycogen during exercise [44]. In addition, tryptophan plays a 263 role as a substrate for the synthesis of serotonin. The serotonin activity is associated with 264 fatigue and increases during prolonged exercise [45]. To horses, the amount of serotonin was 265 reported to be negatively correlated with dominance [46], suggesting that horses with a higher 266 amount of serotonin may be less likely to win races [47, 48]. Moreover, the amount of 267 serotonin has been associated with fatigue in athletic horses [49]. Succinate, however, is an 268 intermediate of the tricarboxylic acid cycle and is produced in large amounts during the 269 bacterial fermentation of dietary fiber [50]. On the other hand, it was reported that elevated 270 succinate levels in fecal microbiota were associated with microbial disturbances (dysbiosis) 271 [50], which could be related to the abundance of potentially pathogenic bacteria.

As in previous studies [26-29, 51], despite the results revealing the significant relationship between gut microbiota metabolism and racing performance (p < 0.05), there were some limitations in analyzing the metabolic activities because PICRUSt may show less accuracy in predicting the metabolic activities in non-human fecal samples. Further investigation should include a metabolomics approach to understand the associations of gut bacteria-derived metabolites and athletic performance in horses.

In conclusion, this study examined the association between gut microbiota and racing performance in Jeju horses and Thoroughbreds. The high-performance horse groups have a more balanced gut microbiota composition than the low-performance horse groups. The highperformance horse group showed higher diversity with beneficial bacteria and indicated some beneficial gut microbiota-derived metabolic activities, such as the production of polyamines and SCFAs. The low-performance horse groups, however, showed more bacteria, many species of which include pathogens, and non-beneficial metabolic activities for athletic horses.

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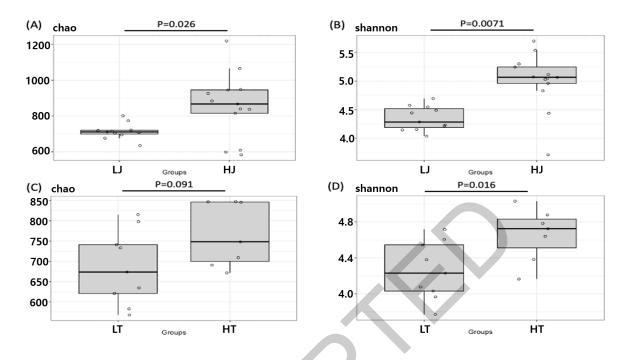


Fig. 1. Comparison of the fecal microbiota ecological indices for species richness and 457 458 diversity using Chao I and Shannon indices, respectively: (A) species richness for Jeju horses, (B) species diversity for Jeju horses, (C) species richness for Thoroughbreds, and (D) species 459 diversity for Thoroughbreds. HJ, LJ, HT, and LT indicate high-performance Jeju horses, low-460 performance Jeju horses, high-performance Thoroughbreds, 461 and low-performance Thoroughbreds, respectively. The significance test was performed using the Wilcoxon rank-462 463 sum test. 464

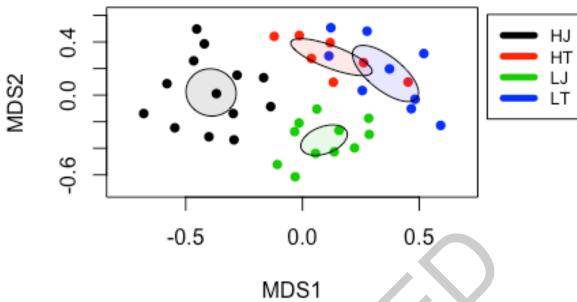


Fig. 2. Non-metric multidimensional scaling analysis for a beta-diversity comparison of the 467 horse fecal microbiota in high- and low-performance horses. HJ, LJ, HT, and LT indicate 468 469 high-performance Jeju horses, low-performance Jeju horses, high-performance 470 Thoroughbreds, and low-performance Thoroughbreds, respectively.



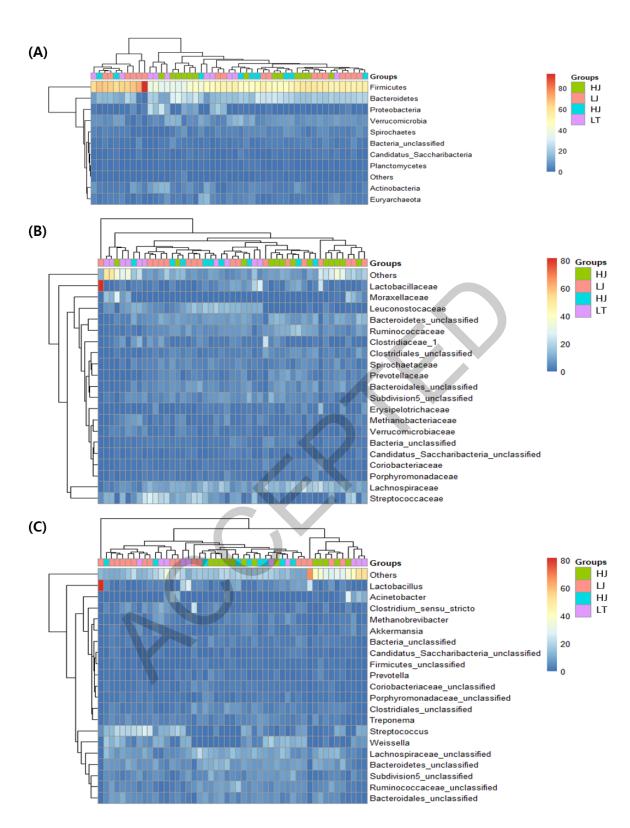
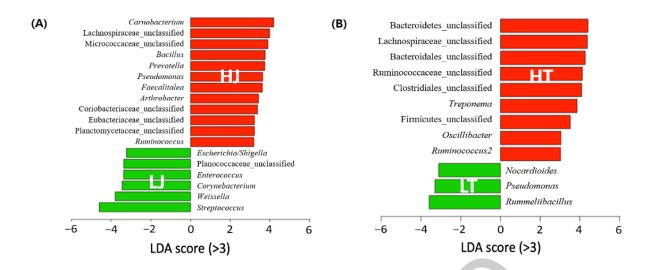




Fig. 3. Comparison of the fecal microbiota composition at the phylum (A), family (B), and genus levels (C) in high- and low-performance horses. HJ, LJ, HT, and LT indicate highperformance Jeju horses, low-performance Jeju horses, high-performance Thoroughbreds, and low-performance Thoroughbreds, respectively.



478 Fig. 4. Differentially abundant genera in fecal microbiota in high- and low- performance
479 horses between HJ and LJ (A) and between HT and LT (B). HJ, LJ, HT, and LT indicate
480 high-performance Jeju horses, low-performance Jeju horses, high-performance
481 Thoroughbreds, and low-performance Thoroughbreds, respectively.

484 Table 1. Characteristics of animals used in this study

Animals	HJ	LJ	HT	LT
n (Male/Female/Gelded)	13 (2/9/2)	17 (5/5/7)	9 (4/3/2)	10 (4/6/0)
Age (Year)	5.3 ± 1.4	3.7 ± 1.2	4.9 ± 1.5	4.2 ± 0.6
Body Weight (Kg)	312.6 ± 9.6	303.7 ± 12.3	450.9 ± 8.5	446.2 ± 10.7

485 HJ, LJ, HT, and LT indicate high-performance Jeju horses, low-performance Jeju horses,

486 high-performance Thoroughbreds, and low-performance Thoroughbreds, respectively.



Nutrients	Jeju Horses (Jeogtoma)	Thoroughbreds (Victory)
Crude Protein	More than 14.5%	15%
Crude Fat	More than 2.5%	10.5%
Max Crude Fiber	Less than 12.0%	12%
Crude Ash	Less than 10.0%	-
Added Salt	-	1.5%
Calcium	More than 1.00%	1%
Phosphorus	Less than 1.00%	0.6%
Lysine	-	10g
Selenium	-	0.8mg
Vitamin E	-	750IU

488 Table 2. Nutrition of concentrated feeds for Jeju horses and Thoroughbreds

489	Jeogtoma (Nonghyup	Seoul, Korea),	Victorye (Hygain,	Victoria, Australia)
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Pathway Code (MetaCyc)	Pathway Name	ALDEx diff.	Metabolite
PWY-7527	L-methionine salvage cycle III	5.68	2-oxoglutarate
PWY-4361	S-methyl-5-thio-α-D- ribose 1-phosphate degradation	5.48	2-oxoglutarate, L-methionine
PWY-7616	methanol oxidation to carbon dioxide	5.46	CO2
PWY-6731	starch degradation III	4.38	D- glucopyranose 6-phosphate
PWY-5183	superpathway of aerobic toluene degradation	3.86	Acetyl-CoA, Succinyl-CoA
PWY-6562	norspermidine biosynthesis	3.79	Norpermidine
PWY-7007	methyl ketone biosynthesis	3.39	A Methyl ketone
PWY-5181	toluene degradation III (aerobic) (via p-cresol)	3.35	Succinyl-CoA
KETOGLUCONMET-PWY	ketogluconate metabolism	3.32	D-gluconate 6- phosphate
3- HYDROXYPHENYLACETATE- DEGRADATION-PWY	4-hydroxyphenylacetate degradation	3.29	Succinate

490	Table 3. Enriched metabolic pathways of the gut microbiota in HJ compared to LJ	

491 HJ, high-performance Jeju horses; LJ, low-performance Jeju horses

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6 biosynthesis IIPWY-7198pyrimidine deoxyribonucleotides de novo biosynthesis IV2.02dTTPP163-PWYL-lysine fermentation to acetate and butanoate1.92AcetatePWY-7210pyrimidine deoxyribonucleotides biosynthesis from CTP1.91dCTP, dTTPPWY-5177glutaryl-CoA degradation1.86Acetyl-CoAPWY-7456mannan degradation1.63β-D-fructofuran phosphatePWY-5823superpathway of CDP-glucose- derived O-antigen building blocks biosynthesis1.45CDP-α-D-tyvele CDP-ascaryloseRHAMCAT-PWYL-rhamnose degradation I1.44(S)-lactaldehyde		superpathway of	
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HT, high-performance Thoroughbreds; LT, low-performance Thoroughbreds	rmance Thoroughbreds	ance Thoroughbreds; LT, low-performa	HT, high-performanc

493 Table 4. Enriched metabolic pathways of the gut microbiota in HT compared to LT