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9 **Abstract**

10 Subclinical ketosis (SCK) is a prevalent metabolic disorder that occurs during the transition to
11 lactation period. It is defined as a high blood concentration of ketone bodies (beta-hydroxybutyric acid
12 ≥ 1.2 mmol/L) within the first few weeks of lactation, and often presents without clinical signs. SCK
13 is mainly caused by negative energy balance (NEB). The objective of this study is to identify single
14 nucleotide polymorphisms (SNPs) associated with SCK using genome-wide association studies
15 (GWAS), and to predict the biological functions of proximal genes using gene-set enrichment analysis
16 (GSEA). Blood samples were collected from 112 Holstein cows between 5 and 18 days postpartum to
17 determine the incidence of SCK. Genomic DNA extracted from both SCK and healthy cows was
18 examined using the Illumina Bovine SNP50K BeadChip for genotyping. GWAS revealed 194 putative
19 SNPs and 163 genes associated with those SNPs. Additionally, GSEA showed that the genes retrieved
20 by DAVID (Database for Annotation, Visualization, and Integrated Discovery) belonged to calcium
21 signaling, starch and sucrose, immune network, and metabolic pathways. Furthermore, the proximal
22 genes were found to be related to germ cell and early embryo development. In summary, this study
23 proposes several feasible SNPs and genes associated with SCK through GWAS and GSEA. These
24 candidates can be utilized in selective breeding programs to reduce the genetic risk for SCK and
25 subfertility in high-performance dairy cows.

26

27 **Keywords:** Subclinical ketosis, GWAS, SNP, gene-set enrichment analysis, biomarker

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31 **Introduction**

32 Over the past three decades, intensive genetic selection for high milk yield in dairy cattle has
33 led to a pronounced energy deficit postpartum and a decline in fertility [1, 2]. This occurs because
34 negative energy balance (NEB) takes place when nutrient requirements for maintenance and lactation
35 exceed dietary intake [3]. Cows experiencing NEB in late gestational and early lactation are
36 particularly at risk of metabolic disorders due to utilization of body fat as a source of energy, leading
37 to an elevation of circulating ketone bodies concentration in the bloodstream. Subclinical ketosis
38 (SCK) is a prevalent metabolic disorder in high-producing dairy cows, characterized by increased
39 concentrations of ketone bodies in the blood without clinical signs of ketosis [4]. The gold standard
40 diagnostic test for SCK is the measurement of a beta-hydroxybutyric acid (BHBA) in serum/plasma [5,
41 6] and cows with a BHBA concentration of 1.2 to 2.9 mmol/L are considered to have SCK [6]. The
42 average incidence of SCK within the first weeks of lactation ranges from 26 to 56% [7, 8].
43 Additionally, Cows with higher milk production were at increased risk for hyperketonemia (HYK),
44 while cows with a body condition score (BCS) of 4 or higher before calving or those that lost more
45 body condition during the transition period were more likely to develop HYK, emphasizing the
46 importance of avoiding over-conditioning of cows during the dry period and excessive BCS loss
47 during the transition period [9].

48

49 Interestingly, SCK has a negative association on reproductive performance, including an increased
50 calving to first estrus, first insemination, and pregnancy intervals [2, 10]. Specifically, SCK cows are
51 less likely to become pregnant after first insemination and produced less milk [11-13].

52

53 Looor and colleagues reported that 2,415 genes were altered in liver from periparturient dairy
54 cows undergoing nutrition-induced ketosis, and the genes were associated with oxidative
55 phosphorylation, protein ubiquitination, cytokine signaling, fatty acid uptake/transport, fatty acid
56 oxidation, cholesterol metabolism, growth hormone signaling, proton transport, and fatty acid

57 desaturation [14]. Additionally, the proteome analysis in feed-deprived dairy cows revealed that
58 altered proteins are involved in fatty acid oxidation, glycolysis, electron transfer, protein degradation,
59 antigen processing, cytoskeletal rearrangement, and cholesterol transport [15]. However, our
60 understanding of polymorphisms within genes linked to SCK, which could aid in the removal of cows
61 with SCK-susceptible genotypes from the breeding population, remains limited. This is due to the low
62 heritability range (0.01~0.16) of the trait and the challenges associated with detecting the phenotypic
63 trait. Nonetheless, it's noteworthy that a reference population, utilizing blood BHB concentration
64 measurements, has the potential to estimate hyperketonemia breeding values for a genomic selection
65 program [16, 17].

66
67 In this study, we conducted a SNP (single nucleotide polymorphism)-based gene-set enrichment
68 analysis (GSEA) to identify candidate genes associated with SCK. We first compared allele
69 frequencies of each SNP between the SCK and non-SCK control groups using genome-wide
70 association studies (GWAS). Subsequently, we used GSEA to better understand the association of
71 genes with SCK susceptibility by identifying biological process or functional pathways. GSEA is a
72 powerful analytical method for interpreting multiple contributing factors affecting complex traits and
73 diseases that can be regulated by different combinations of mutations among different genes within
74 biological groupings [18-20]. The identification of genetic markers and putative biomarker genes
75 using GWAS-GSEA would contribute to successful breeding programs of dairy cattle and provide
76 early and accurate diagnosis in terms of SCK susceptibility.

77

78 **Materials and methods**

79 All experimental protocols were approved by the Animal Care and Use Committee of the College of
80 Agriculture and Life Sciences at the University of Wisconsin-Madison (ACUC no. A005802).

81 **Animals and phenotypic data**

82 As previously described in other studies [9, 17], Holstein cows were fed a corn silage and wheat straw
83 based TMR during the dry period, and a TMR based on corn silage and alfalfa silage after calving.
84 Blood samples were collected from a total of 112 Holstein cows two days per week between 5 and 18

85 days postpartum, during the morning feeding, to determine the incidence of SCK. Blood BHBA
86 concentration was measured using an electronic hand-held biosensor, the Precision Xtra meter (Abbott
87 Lab., Abbott Park, IL, USA), which is a useful cow-side ketone test with high sensitivity (91 to 94%)
88 for detecting SCK in postpartum dairy cow blood samples, compared with laboratory assays [21].
89 Cows were diagnosed with SCK (hyperketonaemia) if blood BHBA concentration was ≥ 1.2 mmol/L.
90 A binary SCK phenotype was assigned each cow. Of the 112 cows, 30 (26.7%) were diagnosed with
91 SCK, and 82 (71.4%) were healthy.

92 **DNA extraction and genotypic data**

93 Genomic DNA was extracted from hair follicles of cows and genotyped using the Illumina
94 BovineSNP50K BeadChip according to the manufacturer's instructions (Illumina, San Diego, CA,
95 USA), which includes 54,609 Bos Taurus autosome SNPs. The Genome Studio Data Analysis
96 software (Illumina) was used for visualizing SNP data and conducting preliminary analysis. Initially,
97 we compared individual SNPs from SCK cows and healthy cows, and examined alternative alleles or
98 variants at a given SNPs. SNP filtering was performed using PLINK 1.9 software with the following
99 exclusion criteria: minor allele frequency (MAF) < 0.01 ; call rate < 0.10 ; and Hardy–Weinberg
100 equilibrium (HWE) < 0.0001 . After a quality control process, 43,552 SNPs were retained for further
101 analyses. To identify genomic regions linked with SCK, we utilized a binary trait, assigning '1'
102 when blood BHBA concentration ≥ 1.2 , and '0' otherwise. The Genetic Relationship Matrix
103 (GRM) that we constructed was then employed in a Genome-Wide Association Study
104 (GWAS) using a following mixed linear model (MLM):

$$105 \quad y_{ij} = \mu + \beta \text{SNP}_i + a_j + e_{ij}$$

106 where y_{ij} is the binary SCK phenotype for a given cow with overall mean μ ; β is the marker
107 genotype effect, SNP_i is the additive SNP substitution effect, a_j is a random polygenic effect,
108 and e_{ij} is a vector of random residual effects. Genome-wide p-values threshold of 10^{-5} was
109 used for analyses of variants.

110 **Gene-set enrichment analysis and visualization of gene network**

111 To assign SNPs to genes, we searched for SNPs that were detected within the genomic sequences of
112 annotated genes (using dbSNP; <https://www.ncbi.nlm.nih.gov/SNP/>) or within 20 kb of the 5' or 3'
113 ends of the first or last UTR, respectively. To explore functional enrichment in the gene sets and
114 generate KEGG biological pathways, we used DAVID (Database for Annotation, Visualization, and
115 Integrated Discovery) Bioinformatics Resources 6.8 (<http://david.abcc.ncifcrf.gov/>). To confirm the
116 predicted gene set function and interaction, we analyzed the listed genes in the KEGG pathways using
117 the web-based tool Gene Multiple Association Network Integration Algorithm (GeneMANIA;
118 <https://genemania.org/>). We targeted SNPs with a call rate of $\geq 95\%$ and a minor allele frequency
119 (MAF) of $\geq 5\%$ for prediction of gene sets in this study.

120

121 **Statistical analysis**

122 Minor allele frequency (MAF) was determined using the FREQ procedure of SAS version 9.2 (SAS
123 Institute, Cary, NC, USA). The Benjamini-Hochberg (BH) false discovery rate (FDR) correction was
124 applied to raw p-values (< 0.05). The distribution of genotypes was tested for deviation from Hardy-
125 Weinberg equilibrium using a chi-square test. A P-value less than 0.05 was considered statistically
126 significant.

127

128 **Results and discussion**

129 We conducted GWAS by analysing 54,609 SNPs and identified 8,360 loci that showed differences
130 when comparing SNPs between the SCK and healthy control groups. We then eliminated SNPs with
131 lower MAF frequencies, which were not considered to be genome-wide significant due to the sample
132 size, and identified 194 SNPs associated with SCK in 163 functional candidate genes. This was
133 achieved by evaluating all SNPs within each gene and the surrounding 1 Mb on each side of the gene
134 for their suitability to serve as the gene's proxy (Table 1 and supplemental data 1) [22].

135

136 To identify key genes and pathways associated with SCK, we performed KEGG pathway enrichment
137 analysis using DAVID (<https://david.ncifcrf.gov/>). We found several pathways that may be involved

138 in the incidence of SCK, including calcium signaling, starch and sucrose metabolism, cAMP signaling,
139 intestinal immune network for IgA production, and metabolic pathways (Table 2), although none of
140 the evaluated KEGG pathways or GO gene sets were significantly enriched for genes associated with
141 diseases such as ketosis. Among these pathways, calcium signaling, starch and sucrose metabolism,
142 and cAMP signaling pathways were statistically significant ($P < 0.05$). In the calcium signaling
143 pathway, we identified several candidate genes including ATP2B1 (ATPase Plasma Membrane Ca²⁺
144 Transporting 1), STIM2 (Stromal Interaction Molecule 2), PLCD3 (Phospholipase C Delta 3), RYR2
145 (Ryanodine receptor 2), and PTGFR (Prostaglandin F Receptor). In the starch and sucrose metabolism
146 pathway, three candidate genes were detected: UGT1A6 (UDP Glucuronosyltransferase Family 1
147 Member A6), UGT2A1 (UDP Glucuronosyltransferase Family 2 Member A1 complex locus), and
148 LOC1002966901 (probable maltase-glucoamylase 2). The cAMP signaling pathway included
149 ATP2B1, TIAM1 (T-cell lymphoma invasion and metastasis 1), RYR2, PDE3A (phosphodiesterase
150 3A), and MAPK10 (mitogen-activated protein kinase 10). We then analyzed the genes listed in the
151 KEGG pathways (Table 2) using GeneMANIA, which can extend the listed genes with functionally
152 similar genes and predict their biological function/network. This approach showed that the listed genes
153 are co-expressed (78.47%) and co-localized (13.73%). Interestingly, UGT1A6 and UGT2A1 may
154 affect metabolism, such as glucuronidation.

155 Identification of genes affecting susceptibility to common disease is very difficult because each causal
156 gene only makes a limited contribution to the diseases. Although ketosis is an important metabolic
157 disease of dairy cows, and SCK occurs more often than clinical ketosis, little is known about the genes
158 and signal pathways affecting SCK in the cattle. It has been reported that polymorphisms within the
159 APOBR gene, which codes for the apolipoprotein receptor, are associated with the milk level of a
160 prognostic ketosis biomarker in dairy cows [23]. Another study has reported that a SNP in the 3'-
161 untranslated region (UTR) of PRKAG1 (Protein Kinase AMP-Activated Non-Catalytic Subunit
162 Gamma 1), a regulatory subunit of the AMP-activated protein kinase (AMPK) that plays a critical role
163 in regulating cellular energy metabolism, can influence BHBA levels and milk production. This
164 effects is due to the distortion of the target site of the highly expressed microRNA mir-423-5p in the
165 bovine mammary gland, liver, and kidney [24]. These findings suggest that allele mutation in specific

166 genomic regions may be related to the susceptibility of SCK. However, most studies have focused on
167 evaluating the value of phenotypic traits such as the levels of BHBA and NEFA for predicting ketosis
168 [16] rather than identifying specific variants associated with functional candidate genes that influence
169 disease susceptibility.

170 A recent study has reported that a combined approach using GWAS, genome-wide interaction studies
171 (GWIS), and metabolic pathway enrichment analyses identified SNPs and proximal candidate genes
172 associated with susceptibility to hyperketonemia (HYK). This suggest that the combined analysis is an
173 effective way to detect genetic factors contributing to HYK, and that the proposed genes are related to
174 energy and lipoprotein metabolism, particularly insulin secretion or resistance [25].

175

176 In this study, we identified SNPs associated with SCK using GWAS and a pathway-based approach,
177 leading to the discovery of functionally important genes. For example, SNPs closely located to
178 ANAPC4 and SEC 23A are identified by using the combined analysis (GWAS-GSEA). This result is
179 consistent with previous literatures which states that both genes are associated with nutrition-induced
180 ketosis [14] and that expression level of these genes is altered in ketogenic diet rats, suggesting their
181 involvement in mitochondrial biogenesis [26].

182 In addition, several genes listed in Table 1 are involved in metabolic dysfunction such as diabetes;
183 RCAN1 (Regulator of calcineurin 1) is highly expressed in type 2 diabetes in human and mice, and
184 elevation of RCAN1 reduces β -cell mitochondrial function and ATP availability, resulting in a
185 reduction of glucose-stimulated insulin secretion [27], ST8SIA1 (ST8 Alpha-N-Acetyl-Neuraminide
186 Alpha-2,8-Sialyltransferase 1), a membrane-bound glycosphingolipid, is reported to affect impaired
187 glucose-stimulated insulin secretion [28], and an intronic SNP within LINGO2 (Leucine rich repeat
188 and Ig domain containing 2) genes is associated with body mass and adiposity in elder humans [29].
189 Moreover, analysis of integrated function/interaction using GeneMANIA supports our bioinformatics
190 approach. For example, UGT1A6 and UGT2A1 are highly associated with metabolic dysfunction
191 observed in the ketogenic diet in humans and high-fat diet-induced fatty liver in rat [30, 31].

192 In this study, our analysis based on GWAS-GSEA suggested the involvement of calcium signaling,
193 starch and sucrose metabolism, cAMP signaling, intestinal immune network for IgA production, and

194 metabolic pathways in SCK. Currently, understanding of the interplay between the metabolic and
195 immune systems is crucial to decipher the integration of metabolism and immunity in periparturient
196 dairy cows. As previously mentioned, the periparturient dairy cow experiences a significant increase
197 in nutrient requirements for lactation; roughly a threefold increase in glucose demand, a twofold
198 increase in amino acids demand, and approximately a fivefold increase in fatty acids [32]. Furthermore,
199 cows require a fourfold increase in calcium on the day of parturition, and early lactating cows
200 experience negative calcium balance [33, 34]. Intracellular calcium, in particular, must be maintained
201 to avoid nerve and muscle dysfunction, as it is involved in many signaling pathways [35].
202 Additionally, NEB during early lactation may worsen periparturient immunosuppression, making
203 dairy cows more vulnerable to infectious diseases [36]. Hyperketonemia, in particular, appears to
204 negatively affect immune function, impairing udder defense mechanisms related to leukocyte function
205 in NEB cows [37]. Although the mechanisms of impairment have not been fully elucidated, the high
206 concentration of ketone bodies has been associated with suppressed bovine lymphocyte blastogenesis,
207 lowered chemotactic capacity of leukocytes, and decreased production of INF-gamma and TNF alpha
208 [38-40].
209 Moreover, we identified several candidate genes that are related to reproductive performance,
210 including early embryo development, implantation, and germ cell development. For instance,
211 expression of EDARADD (Ectodysplasin-A receptor-associated adapter protein) and LINGO2
212 (Leucine rich repeat and Ig domain containing 2) affect early cleaving embryos development and
213 oocyte maturation, respectively [41-43]. SMG6 (SMG6 Nonsense Mediated mRNA Decay Factor)
214 depleted blastocyst embryos did not form the ICM, leading to early embryonic lethality in mice [44].
215 Additionally, ATP2B1 (ATPase plasma membrane Ca²⁺ transporting 1) and GABPA (GA Binding
216 Protein Transcription Factor Subunit Alpha) may affect spermatogenesis via sperm calcium channel
217 and folliculogenesis via granulosa cell interactions, respectively [45, 46]. Importantly, a SNP located
218 within an intron of MUC19 (Mucin glycoprotein 19) has been reported to be involved in early
219 embryonic loss during the implantation window of heifer Holstein cows [42].

220

221 In summary, our study identified chromosomal regions associated with the incidence of SCK and their
222 corresponding genes, highlighting the relationship between nutritional metabolism and immunity in
223 periparturient dairy cows through GSEA. Our approach using SNP and GSEA provided integrated
224 information for the identification of genes associated with SCK. Notably, we also found that the
225 proximal genes of SNPs are related to gametogenesis, early preimplantation development, and the
226 implantation window, suggesting potential links between SCK and reproductive performance. This
227 genetic information can be utilized for optimizing breeding programs and managing high-performance
228 cows with infertility, thus minimizing economic losses caused by SCK.

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Table 1. Top 20 ranked SNPs and functional candidate genes associated with SCK using GWAS.

rs_number	Gene	Chromosome	Position_SNP	p_value
rs41668260	LRRTM4	11	59319163	0.0003
rs43681489	RCAN1	11	59351201	0.0005
rs42034762	HSBP1L1	24	785766	0.0009
rs109448272	ST8SIA1	5	88334676	0.0024
rs41582549	LSAMP	1	61069157	0.0027
rs41603224	TMEM9B	15	44224325	0.0045
rs29027118	AAK1	11	67944693	0.0047
rs109902840	LOC104975886	25	29977087	0.006
rs110679980	EDARADD	28	9131855	0.0062
rs42653943	LINGO2	8	15734273	0.0068
rs42404957	TOX	14	26926569	0.0101
rs109853479	SMG6	19	23798617	0.011
rs109530663	LOC104972363	5	21947260	0.0114
rs42329164	CNTN5	15	9792729	0.0142
rs109007144	ATP2B1	5	19594448	0.0146
rs110298601	SPECC1L	17	73627135	0.0146
rs29022825	GABPA	1	10056851	0.0167
rs110686608	SMG6	19	23761130	0.0172
rs110957256	SPIDR	14	20759946	0.0183
rs42328461	CNTN5	15	9814478	0.0218
rs41656716	MUC19	5	40580237	0.0223

Table 2 List of KEGG Pathways using GESA-SNP analysis

Category	Term	P Value	Genes
KEGG_PATHWAY	bta04020: Calcium signaling pathway	0.038	ATP2B1, STIM2, PLCD3, RYR2, PTGFR
KEGG_PATHWAY	bta00500: Starch and sucrose metabolism	0.040	UGT1A6, UGT2A1, LOC100296901
KEGG_PATHWAY	bta04024: cAMP signaling pathway	0.046	ATP2B1, TIAM1, RYR2, PDE3A, MAPK10
KEGG_PATHWAY	bta04672: Intestinal immune network for IgA production	0.055	TNFRSF13B, MAP3K14, CXCL12
KEGG_PATHWAY	bta01100: Metabolic pathways	0.071	UGT1A6, GLUL, SEPHS1, FUT8, CYP27A1, COX7B2, GADL1, ST8SIA1, PLCD3, UGT2A1, AMPD3, EXT2, SARDH, LOC100296901

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