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Article Title (within 20 words without abbreviations)	Mid-Infrared (MIR) Spectroscopy for the detection of cow's milk in buffalo milk
Running Title (within 10 words)	Detection of buffalo milk adulteration with cow milk
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Availability of data and material	Upon reasonable request, the datasets of this study can be available from the corresponding author.
Authors' contributions Please specify the authors' role using this form.	Conceptualization: Spina AA, Britti D, Morittu VM Data curation: Spina AA, Morittu VM Formal analysis: Spina AA, Tilocca B Methodology: Spina AA, Morittu VM Software: Piras C, Morittu VM Validation: Piras C, Britti D, Morittu VM Investigation: Spina AA, Ceniti C Writing - original draft: Spina AA, Piras C, Morittu VM Writing - review & editing: Spina AA, Ceniti C, Piras C, Tilocca B, Britti D, Morittu VM.
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#### 8 Abstract

9 In Italy, buffalo mozzarella is a largely sold and consumed dairy product. The fraudulent adulteration of 10 buffalo milk with cheaper and more available milk of other species is very frequent. In the present study, 11 Fourier Transform Infrared spectroscopy (FTIR), in combination with multivariate analysis by partial 12 least square (PLS) regression, was applied to quantitatively detect the adulteration of buffalo milk with 13 cow milk by using a fully automatic equipment dedicated to the routine analysis of the milk composition. 14 To enhance the heterogeneity, cow and buffalo bulk milk was collected for a period of over three years 15 from different dairy farms. A total of 119 samples were used for the analysis to generate 17 different 16 concentrations of buffalo-cow milk mixtures. This procedure was used to enhance variability and to 17 properly randomize the trials. The obtained calibration model showed an  $R^{2}>0.99$  ( $R^{2}cal=0.99861$ ; 18 RMSEC=2.04; R<sup>2</sup>val.=0.99803; RMSEP=2.84; RMSECV=2.44) suggesting that this method could be 19 successfully applied in the routine analysis of buffalo milk composition, providing rapid screening for 20 possible adulteration with cow's milk at no additional cost.

21 Keywords: Fourier Transform Infrared spectroscopy (FTIR); adulteration; buffalo milk.

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## Introduction

24 The commercial value of dairy products strictly depends on milk quality. Milk adulteration is a 25 frequent problem in many countries that, in case of allergy, can even cause life-threatening consequences 26 for the consumers. The most frequent type of adulteration is represented by a partial or complete 27 replacement of more valuable products with cheaper ones. Milk fraudulent adulteration is always pushed 28 by an easy additional economic income and, sometimes, could be used to adjust milk defects such as a 29 high bacterial load/somatic cell count or the presence of contaminants/pollutants. In the case of buffalo 30 milk, this is often adulterated because of its high cost and low availability in certain periods of the year. 31 This is due to the reproductive seasonality of the buffalo species.

Italy contributes for over 90% of the European production (1) and, over 95% of the total amount of the European buffalos' milk is used for dairy products (1). Most of the buffaloes are reared under intensive conditions for milk production that is almost entirely transformed in mozzarella cheese that obtained the

35 European "Protected Designation of Origin" (PDO) in 1996 (2). The steadily growing demand and the 36 high market price of this product, combined with the low availability of raw milk for processing, made 37 buffalo milk an exploitable target for adulteration (3).

38 For this reason, it is necessary to develop a rapid and reliable method to verify the quality and 39 authenticity of milk and dairy products to protect both the intrinsic value of the product and the 40 consumers from fraud. Furthermore, as previously mentioned, some cow milk proteins can induce 41 allergies and other adverse effects and pose a high risk to susceptible consumers if their presence is not 42 declared in food (4). The official method to detect the presence of cow milk in ewe, goat, and buffalo 43 milk is the isoelectric focusing of  $\gamma$ -caseins after plasminolysis (5) which is only a qualitative and time-44 consuming method that requires experienced and qualified personnel. Many analytical methods, such as 45 capillary electrophoresis (6), synchronized fluorescence spectroscopy (7), mass spectrometry-based 46 procedures [8,9], or other spectroscopy-based techniques (10) have been proposed over the years to 47 improve speed and reliability. Among them, FT-MIR is already used routinely to quickly determine the 48 composition of milk due to its fast, easy use, and simple sample preparation (10). Furthermore, has been 49 recently demonstrated that this technique could be successfully applied to detect a range of potential 50 adulterants in milk (11).

51 Some studies have already demonstrated the applicability of spectroscopy to detect or quantify milk 52 from species other than those declared (10,11,12-15). In the present study, we applied a new FT-MIR 53 spectroscopy method combined with chemometrics analysis, such as the partial least square (PLS) 54 regression, for the quantitative detection of cow's milk as an adulterant in buffalo milk.

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## **Materials and Methods**

58 Animals, Milk Collection:

59 A total of 14 raw bulk tank milk samples of 2 L each were collected: 7 milk samples from dairy cow 60 (Bos taurus) herds of different breeds (Jersey, Holstein-Friesian, Italian Brown, Italian Simmental, Angler, 61 and crossbreed) and 7 milk samples from different Mediterranean buffalo (Bubalus bubalis) herds. All

farms were located in Calabria Region (Southern Italy) and all milk samples were collected from October
2017 to February 2020. After collection, the samples were kept refrigerated at 4°C and immediately
delivered to the laboratory of the University of Catanzaro for the analysis by MilkoScan FT+ (Foss,
Hillerød, Denmark) instrument.

66 Standards Preparation for FT-MIR Analysis:

The milk mixtures were prepared by randomly pairing one of the 7 buffalo milk with one of the 7 cow
milk and then blending them according to the following 17 proportions: 0, 1, 2.5, 5, 10, 20, 25, 30, 50, 70,
75, 80, 90, 95, 97.5, 99, and 100 % (vol/vol).

70 A total of 119 standards (17 mixtures x 7 different couples of buffalo-cow milk) were obtained. Before 71 the analysis, standards (45 mL each) were warmed in a 40°C water bath for 20 minutes, slightly stirred 72 for a few seconds and then analyzed by MilkoScan FT+ for the MIR spectra acquisition as the 73 transmittance value at each of the 1060 points of wavelength from 5011 to 925 cm<sup>-1</sup>. This instrument 74 analyzes the chemical composition of milk according to the standards of the International Dairy 75 Federation (FIL-IDF) by the Fourier Transform (FT) technology over the Mid-infrared spectral range. 76 Spectra were stored as absorbances (A) using the transformation  $A = \log(1/T)$ , where T is the 77 transmittance (Figure 1). Two spectral acquisitions were carried out for each sample, and the results were 78 averaged before data analysis.

### 79 *Chemometric analysis:*

All spectral data were elaborated by Partial Least Square (PLS) regression using the TQ Analyst<sup>TM</sup> 80 81 software ver. 8.0 (Thermo Fisher Scientific Inc., Madison, WI) in order to quantify the % (vol/vol) of 82 bovine milk in buffalo milk. 85 spectra belonging from 5 out of 7 mixtures pairs (randomly chosen) were 83 used as calibration standards. The remaining 34 spectra were used as independent validation standards. In 84 this way, spectra were distributed in two sets (70% for training and 30% for validation) evaluated by PLS 85 in order to develop a multivariate calibration model. The number of latent variables in the model was 86 tested according to the method proposed by the software. After leave-one-out cross validation, the number 87 of the principal components (factors) that minimized the RMSECV of the model was nine. After selecting the number of latent variables (16), the PLS model was used to recognize the concentration of cow's milk
in buffalo's milk.

The accuracy and precision of the calibration model are expressed as correlation coefficient ( $R^2$ ) of the calculated vs. real values, as the root mean square error of calibration (RMSEC) and the root mean square error of prediction (RMSEP). The choice of the best model followed this rule: the higher  $R^2$  and the lower RMSEC and RMSEP, the better the models were considered (17). Furthermore, a cross-validation using "leave-one-out" procedure was used to evaluate the overfitting of the calibration and the root mean square error of cross-validation (RMSECV) was calculated.

As suggested by Muik et al., (18), to improve the performance of the prevision, the following corrections were applied on original spectra: first derivative; smoothing by Norris derivative filter with 5 both as segment length and as gap between segments. Data were normalized by using the mean centering technique.

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## **Results and Discussion**

The qualitative composition of the bulk tank milk of the two different species was firstly observed in this study (Table 1). The buffalo milk average protein, fat, and lactose content were respectively 8.41 g/100, 4.64 g/100 and 4.83 g/100 g. The same parameters for cow milk were 3.83 g/100, 3.50 g/100 and 4.76 g/100 g. These data show the higher contents of protein and fat in buffalo milk than in cow's milk and are in agreement with those reported in previous studies (19, 20).

Two buffalo and cow milk representative MIR spectra, before and after spectral treatment, are reported in Figure 1. The absorbance regions that best correlated with the percentage of cow's milk in buffalo milk were suggested by the TQ analyst<sup>TM</sup> and were those in the ranges 2989.12–2495.44 and 1481.06–987.38 cm<sup>-1</sup> (Figure 1). As expected, the spectral regions corresponding to water interference (21) did not fall within the regions considered appropriate for a good calibration. More precisely, these spectral regions fall between 1680 to 1600 cm<sup>-1</sup> and 3650 to 3000 cm<sup>-1</sup> (O-H stretching) (21). Among spectral ranges having no useful information there is also the area between 1765 to 1730 cm<sup>-1</sup> associated with C=O stretching modes (triglyceride) and the area between 1700 to 1500 cm<sup>-1</sup> containing the peak typical of amide I (C-O) and amide II (N–H) (22). Among other biomolecules populating the spectrum can be annotated lipids, whose absorption region is between 1477 to 1400 cm<sup>-1</sup> (C-H stretching) and caseins (phosphate group) that are absorbing at 1100 cm<sup>-1</sup> (23).

The peaks at 1200–900 and 1045 cm<sup>-1</sup> are associated with lactose, and those at 3000–2800 cm<sup>-1</sup> and 1400–800 cm<sup>-1</sup> are related to carbohydrates, monosaccharides and polysaccharide groups (C-O-C) (15). All the spectra were pre-processed for the outliers detection (24) through the Chauvenet test and no outliers were found among the 119 spectra (24).

The performance of PLS calibration models for both original and pre-treated spectra is shown in Table 2. Both calibrations showed good results, but the spectral pre-processing allowed to significantly improve the quality of the prediction as indicated by the lower RMSEP values. Generally, a good model should have lower RMSECV, RMSEP and higher R<sup>2</sup> but small differences between RMSEP and RMSECV (8).

127 Both the high value of the correlation coefficient and the low RMSEP value indicated the success of 128 the PLS regression on the pre-processed spectra and, for these reasons this calibration model may be 129 preferred to the one elaborated on the raw spectra. Figure 2 shows calibration results for the detection of 130 bovine milk percentage in buffalo milk using the calibration model on the pre-treated spectra. It can be 131 observed that data points in the plot of the calculated vs. actual values (Figure 2A) drew a line with a 45 132 degrees angle with respect to both axes. No bias, intended as a systematic difference between expected 133 and true values, was detected. For this reason, the data points in the % difference plot (Figure 2B) were 134 distributed randomly above and below the zero line within a concentration ranging from -7% to +7% of 135 the actual value. The differences between calculated and actual values of the validation standards were 136 similar to those observed for the calibration standards, this feature indicates that there was no overfitting 137 for the considered calibration.

In a similar work detecting the adulteration of cow, sheep and goat milk by FTIR spectroscopy in combination with multivariate statistical methods, Nicolaou et al. (25) obtained calibration models characterized by prediction error higher than those observed in the present study and ranging from 3.95% to 8.03%. However, recent research that used FTIR method with multivariate statistical analysis was
successful in the differentiation between buffalo milk and buffalo milk added with cow milk (15).

In our research, the applied PLS regression models were able to detect as little as 3% cow in buffalo milk, reaching a good accuracy (Table 2). Although the adulteration of buffalo milk with cow's milk is unlikely to occurs at concentrations below 3% due to limited economic convenience, from a scientific and technical points of view is relevant to have such a sensitive and cheap method for the detection of this type of fraud.

148 This is of major importance, especially considering that the presence of small quantities of cow's milk 149 in buffalo milk may be life threatening in the case of consumers with allergies to cow milk proteins. 150 Cow's milk has about 30 potentially allergenic proteins and, the foods that contain it must necessarily 151 declare it on the label (26). Other recent studies applied spectroscopy associated with multivariate 152 statistics to verify the authenticity of buffalo milk. Silva et al. (27), analysed lyophilized milk samples 153 with attenuated reflectance Fourier transform infrared spectroscopy (ATR-FTIR) in association with PCA 154 and artificial neural network. The authors could detect differences between different milk species only 155 accounting for 40% of adulteration and could not assess the content of cow's milk added to buffalo milk, 156 but only its presence. Also, Goncalves et al. (16) developed a similar method for the quantification of 157 buffalo's milk adulterants (e.g. cow's milk) using MIR spectroscopy coupled to PLS and MLR. The 158 obtained results were similar to the ones here presented, with R<sup>2</sup> of 0.9938 and RMSEP of 3.484% for the 159 adulteration. Durakli Velioglu et al. (28), similarly to Goncalves et al. (16), when researching the 160 authenticity of buffalo's milk by fluorescence spectroscopy associated with PCA and PLS, found models 161 with an R<sup>2</sup> of 0.98. Instead, RMSEC, RMSECV, and RMSEP values were determined as 2, 7 and 4%, 162 respectively, indicating slightly higher prediction errors than the values in our findings.

In the study of Lapcharoensuk et al. (29), a near-infrared (NIR) spectroscopy model was used to quantitatively detect buffalo milk adulteration with cow milk, but on pasteurized milk samples. The authors yielded a  $R^2$  of 0.998 and RMSEP of 2.121.

166 Although the RMSEP obtained in all these works are very similar to that obtained in our study, most of 167 the above-mentioned authorsonly used adulterations ranging from 10-90% (v/v) while we used 168 adulterations ranging from 1-99% (v/v). Moreover, we enrolled cow milk samples coming from 7 169 different breeds while the other studies used only 2 different breeds.

### 170 Conclusions

In this study, we demonstrated that Mid-Infrared (MIR) Spectroscopy in combination with PLS regression analysis provides an accurate, simple and rapid method for quantitative assessment of the adulteration of buffalo milk with cow's milk. For this reason, we believe that the proposed calibration can be a useful tool for expanding the possibilities of combating fraud in laboratories equipped for routine milk analysis using dedicated FTIR instruments at no additional cost.

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# **Tables and Figures**

Milk components	Buffalo milk (N=7)	Cow milk (N=7)	
Fat (g/100 g)	8.41 ± 0.29	$3.83 \pm 0.31$	
Protein (g/100 g)	$4.64\pm0.11$	$3.50\pm0.29$	
Lactose (g/100 g)	$4.83\pm0.16$	$4.76\pm0.13$	

Table 1. Mean  $\pm$  standard deviation of the fat, protein, and lactose content of the milk samples

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<b>Table 2.</b> Calibration statistics for analysis of bo	vine milk content (% vol/vol) in bufalin milk
using partial least square (PLS) regression at fi	requencies 2989.12 - 2495.44 and 1481.06 -
987.38 cm-1	

Spectral	$\mathbf{D}^2$ col	DMSEC	$\mathbf{D}^2$ and	DMCED	DMSECV	$\mathbf{N}^{\circ}$ of
treatment	K <sup>2</sup> cai	RMSEC		KWISEF	LP RIVISEC V	Factors
Normal	0.99857	2.08	0.99770	6.06	2.63	10
First der., Norris filter	0.99861	2.04	0.99803	2.84	2.44	9

 $R^2$  cal: coefficient of determination for calibration.

RMSEC: root mean square error of calibration.

R<sup>2</sup> val: coefficient of determination for external validation; RMSEP: root mean square error of prediction.

RMSECV: root mean square of cross-validation.



Figure 1. Treaded (A) and Original (B) MIR spectra. The spectral pre-treatment was performed by First derivative
and Norris derivative filter smoothing. One of the 0% cow milk (=100% buffalo milk) standards and one of the
100% cow milk standards are shown in blue and in red, respectively. Spectral regions considered for the PLS
calibration are indicated in blue shadow.





Figure 2. Calibration results for the prediction (% vol/vol) of cow's milk in buffalo milk using the PLS calibration 284 285 after spectral pre-treatment. A-panel: calculated vs. actual plot of the 119 standards used as calibration (n=85) and 286 validation (n=34) spectra; B-panel: difference plot showing the differences between calculated and actual values vs. 287 the actual values.